

AMERICAN STATES WATER CO

Form 8-K

December 20, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) December 15, 2016

AMERICAN STATES WATER COMPANY
(Exact name of registrant as specified in its charter)

| | | |
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| California (State or other jurisdiction of incorporation or organization) | 001-14431 (Commission File Number) | 95-4676679 (I.R.S. Employer Identification No.) |
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| 630 East Foothill Blvd. San Dimas, California (Address of principal executive offices) | 91773 (Zip Code) |
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Registrant's telephone number, including area code: (909) 394-3600

GOLDEN STATE WATER COMPANY
(Exact name of registrant as specified in its charter)

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| California (State or other jurisdiction of incorporation or organization) | 001-12008 (Commission File Number) | 95-1243678 (I.R.S. Employer Identification No.) |
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| 630 East Foothill Blvd. San Dimas, California (Address of principal executive offices) | 91773 (Zip Code) |
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Registrant's telephone number, including area code: (909) 394-3600

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8 – Other Events

Item 8.01. Other Events

San Dimas, California, December 20, 2016.... American States Water Company (NYSE:AWR) announced that on December 15, 2016, the California Public Utilities Commission (“CPUC”) approved the water general rate case for AWR’s wholly owned subsidiary, Golden State Water Company (“GSWC”). GSWC had filed a general rate case application in July 2014 for all of its water regions and the general office to determine new rates for the years 2016 – 2018. The new rates approved by the CPUC are retroactive to January 1, 2016.

A copy of the Company’s press release is attached hereto as Exhibit 99.1. This Form 8-K and the attached exhibit are furnished to, but not filed with, the Securities and Exchange Commission.

Section 9 – Financial Statement and Exhibits

Item 9.01. Financial Statements and Exhibits

| <u>Exhibit</u> <u>No.</u> | <u>Description</u> |
|------------------------------|--------------------|
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| 99.1 | Press Release: American States Water Company Announces CPUC Decision Approving New Water Rates at GSWC and U.S. Government’s Concurrence of Price Redetermination at ASUS |
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

AMERICAN STATES WATER COMPANY:

Date: December 20, 2016 /s/ Eva G. Tang
Eva G. Tang
Senior Vice President-Finance, Chief Financial
Officer, Corporate Secretary and Treasurer

GOLDEN STATE WATER COMPANY:

Date: December 20, 2016 /s/ Eva G. Tang
Eva G. Tang
Senior Vice President-Finance, Chief Financial
Officer and Secretary