Edgar Filing: Earl Nicholas - Form 4

Earl Nichola Form 4										
February 16,	ЛЛ								OMB AI	PPROVAL
		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287		
Check th if no long subject to Section 1 Form 4 c	F CHANGES IN BENEFICIAL OWN SECURITIES					NERSHIP OF	Expires: Estimated a burden hou response			
Form 5 obligatio may com <i>See</i> Instr 1(b).	$\frac{1}{1}$ section 1	7(a) of the	Public U		ling Com	ipany	Act of	e Act of 1934, E 1935 or Section 40	1	
(Print or Type I	Responses)									
1. Name and Address of Reporting Person <u>*</u> Earl Nicholas			2. Issuer Name and Ticker or Trading Symbol GLU MOBILE INC [GLUU]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle) C/O GLU MOBILE INC., 875 HOWARD STREET, SUITE 100			3. Date of Earliest Transaction (Month/Day/Year) 02/15/2018					X Director 10% Owner X Officer (give title Other (specify below) below) President & CEO		
				ndment, Da hth/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deen Execution any (Month/D		n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			l of (D)	Owned Indirect (I) Ow				
Common Stock	02/15/2018			Code V A	Amount 31,250	or (D) A	Price \$ 0 (1)	(Instr. 3 and 4) 300,772	D	
Common Stock	02/15/2018			F <u>(2)</u>	16,216	D	\$ 3.99	284,556	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactie Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	Date	7. Title and <i>A</i> Underlying S (Instr. 3 and	Securities	8. De Se (In
				Code V	(A) (E	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(3)</u>	02/15/2018		M <u>(1)</u>	31,250	(4)	(4)	Common Stock	31,250	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Earl Nicholas C/O GLU MOBILE INC. 875 HOWARD STREET, SUITE 100 SAN FRANCISCO, CA 94103	Х		President & CEO			
Signatures						

ynau

/s/ Nicholas Earl	02/15/2018		
**Signature of Reporting Person	Date		

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of restricted stock units (RSUs) granted to Mr. Earl on December 9, 2015.

Exempt transaction pursuant to Section 16b-3(e) - payment of exercise price or tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3. All of the shares reported as disposed of in

- (2) this Form 4 were relinquished by Mr. Earl and cancelled by Glu Mobile Inc. ("Glu") in exchange for Glu's agreement to pay federal and state tax withholding obligations of Mr. Earl resulting from the vesting of RSUs. Mr. Earl did not sell or otherwise dispose of any of the shares reported on this Form 4 for any reason other than to cover required taxes.
- (3) Each RSU represents a contingent right to receive one share of Glu common stock.

The RSU vested as to 25% of the total number of shares of subject to the RSU on November 15, 2016 (the "RSU First Vesting Date"), with the remaining 75% of the underlying shares vesting in equal quarterly installments over the next three years following the RSU First

(4) Vesting Date on the same day of each third month(e.g., the first quarterly vesting date was February 15, 2017, the next quarterly vesting date was May 15, 2017, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.