AUBURN NATIONAL BANCORPORATION INC

Form 5

February 06, 2003

SEC Form 5

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|---|----|--|---|---------------------------|----------------|--|---|---|--|---|---|--|
| FORM 5 | | UNITED STATES SECURITIES AND EXCHANGE | | | | | | | | OME | 3 APPROVAL | |
| [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | | | | OMBAL | 0005 0000 | |
| | | | | | | | | | | OMB Number: 3235-0362 Expires: January 31, 2005 Estimated average burden hours per response 1.0 | | |
| [] Form 3 Holdings Reported | | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of | | | | | | | | · · | | |
| [] Form 4 Transactions Reported | | 1940 | | | | | | | | | | |
| Name and Address of Reporting Person* Wright Jr., Emil F. | | | Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) o Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 500 Brookwood Drive | | | Auburn National Bancorporation Inc aubn | | | 12/2002 | | _ Director X 10% Owner _ Officer (give title below) _ Other (specify below) | | | | |
| (Street) Auburn, AL 36830 | | | 3. I.R.S. Identification Number of Reporting Person, if an entity | | | 5. If Amendment, Date of Original (Month/Year) | | Description <u>Director</u> | | | | |
| (City) (State) | | (voluntary) 418-42-2202 | | | • / | , | | | 7. Individual or Filing (Checl | | or Joint/Group eck Applicable Line) | |
| | | | | | | | _ Forn | | | n filed by One Reporting Person n filed by More than One ng Person | | |
| | Ta | able I - I | Non-D | erivative Se | ecurities Acqu | ired, I | Disposed of, or | Beneficia | lly Own | ed | | |
| 1. Title of Security (Instr. 3) 2.Transaction Date (Month/Day) | | Executio (Year) any | | tion Date, if Transaction | | Acqui Dispo | curities red (A) or sed Of (D) str. 3, 4, and 5) | Securities Beneficially Owned at end of Issuer's Fiscal | | 6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | A | Amount / /D / Price | -1) | , | | | |
| aubn common stock | | | | | | | //\$ | 344,276 | | D | | |
| aubn common stock | | | | | | | //\$ | 4,500 | | I | Pension Plan | |
| aubn common stock | | | | | | | //\$ | 48,654 | | I | By Spouse | |
| aubn common stock | | | | | | //\$ | 294 | 4 | I | Partnership | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | Deri- | Transaction Date (Month/ Day/ | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | Transaction Code (Instr.8) | of Derivative Securities | Exercisable(DE) and | Amount of Underlying Securities (Instr. 3 | 8. Price of Derivative Security (Instr.5) | Derivative Securities Beneficially Owned at End of Year Reported Transaction(s) (Instr.4) | Securities: |
|---|-------|--|--|----------------------------------|--------------------------------|---------------------|--|---|---|-------------|
| | | | | | A or | DE / ED | Title / Amount or Number of Shares | | | |

Explanation of Responses:

By: Date:

/s/ Emil F Wright, Jr

02/06/2003

** Signature of Reporting Person

SEC 2270 (09-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

 $^{^{\}star}$ If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).