Edgar Filing: LUNA INNOVATIONS INC - Form 4

LUNA INNO Form 4 August 13, 20	VATIONS INC										
FORM	4 UNITED S	STATES	TES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						-	PPROVAL 3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								rs per			
(Print or Type R	esponses)										
J			2. Issuer Name and Ticker or Trading Symbol LUNA INNOVATIONS INC [LUNA]				Ig	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
INCORPOR	(First) (M INNOVATIONS ATED, 1703 S. I STREET, SW S		3. Date of (Month/Da 08/09/20	-	ansaction			X Director Officer (give below)	titleOtho	6 Owner er (specify	
	(Street) 4. If Amendm Filed(Month/D				-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ROANOKE,	VA 24016							Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	08/09/2007			А	362 <u>(1)</u>	А	\$ 4.15	950	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Under Secur (Instr	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
r g a constant a constant	Director	10% Owner	Officer	Other			
Murphy Edward G C/O LUNA INNOVATIONS INCORPORATED 1703 S. JEFFERSON STREET, SW SUITE 400 ROANOKE, VA 24016	X	Х					
Signatures							
Aaron S. Hullman, Esq., 08 attorney-in-fact	8/13/2007						
<u>**</u> Signature of Reporting Person	Date						
C/O LUNA INNOVATIONS INCORPORATED 1703 S. JEFFERSON STREET, SW SUITE 400 ROANOKE, VA 24016 Signatures Aaron S. Hullman, Esq., attorney-in-fact	X 5/13/2007	Х					

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Common stock granted in lieu of cash board of director meeting fees under issuer's 2006 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.