

LUNA INNOVATIONS INC  
 Form 3  
 March 07, 2008

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Soller Brian Joseph  
 (Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)  
 02/28/2008

3. Issuer Name and Ticker or Trading Symbol  
 LUNA INNOVATIONS INC [LUNA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 President, Products Division

LUNA INNOVATIONS  
 INCORPORATED,Â 1  
 RIVERSIDE CIRCLE, SUITE  
 400

(Street)

ROANOKE,Â VAÂ 24016

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
 (Instr. 4)

2. Amount of Securities Beneficially Owned  
 (Instr. 4)

3. Ownership Form:  
 Direct (D)  
 or Indirect (I)  
 (Instr. 5)

4. Nature of Indirect Beneficial Ownership  
 (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
 (Instr. 4)

2. Date Exercisable and Expiration Date  
 (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
 (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
 (Instr. 5)

Edgar Filing: LUNA INNOVATIONS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	11/11/2006 <sup>(1)</sup>	11/11/2015	Common Stock	28,262	\$ 1.7692	D	Â
Employee Stock Option (Right to Buy)	11/11/2006 <sup>(2)</sup>	11/11/2015	Common Stock	14,131	\$ 1.7692	D	Â
Employee Stock Option (Right to Buy)	02/08/2007 <sup>(3)</sup>	02/08/2016	Common Stock	11,305	\$ 1.7692	D	Â
Employee Stock Option (Right to Buy)	02/27/2007 <sup>(4)</sup>	02/27/2017	Common Stock	10,000	\$ 3.69	D	Â
Employee Stock Option (Right to Buy / Non-Qualified)	11/13/2007 <sup>(5)</sup>	11/13/2017	Common Stock	39,652	\$ 5.73	D	Â
Employee Stock Option (Right to Buy)	11/13/2007 <sup>(6)</sup>	11/13/2017	Common Stock	60,348	\$ 5.73	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Soller Brian Joseph LUNA INNOVATIONS INCORPORATED 1 RIVERSIDE CIRCLE, SUITE 400 ROANOKE, VA 24016	Â	Â	Â President, Products Division	Â

## Signatures

/s/ Kevin W. Holt, 03/07/2008  
Attorney-In-Fact

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the option shares became exercisable one year from the vesting commencement date (11/11/2005). The remaining option shares are exercisable at a rate of 1/36 per month thereafter.
- (2) 25% of the option shares became exercisable one year from the vesting commencement date (11/11/2005). The remaining option shares are exercisable at a rate of 1/36 per month thereafter.
- (3) 25% of the option shares became exercisable one year from the vesting commencement date (02/08/2007). The remaining option shares are exercisable at a rate of 1/36 per month thereafter.
- (4) 40% of the option shares are exercisable 24 months after the vesting commencement day. The remaining option shares are exercisable at a rate of 1/36th per month thereafter.
- (5) 40% of the option shares are exercisable 24 months after the vesting commencement day. The remaining option shares are exercisable at a rate of 1/36th per month thereafter.

Edgar Filing: LUNA INNOVATIONS INC - Form 3

- (6) 40% of the option shares are exercisable 24 months after the vesting commencement day. The remaining option shares are exercisable at a rate of 1/36th per month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.