Edgar Filing: HODGES GRANVILLE R JR - Form 4

HODGES (GRANVILLE R J	R	g	102020		'						
Form 4												
January 31, 2011 OMB APPROVAL OMB APPROVAL												
Check t		SECURITIES AND EXCHANGE CO Washington, D.C. 20549					OMB Number:	3235-0287				
if no lor subject Section Form 4	nger to 16. or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: Estimated a burden hour response			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	Responses)											
			Symbol	Symbol Is					5. Relationship of Reporting Person(s) to ssuer			
	AMERICAN STATES WATER CO [AWR]					(Check all applicable)						
								Director 10% Owner _X Officer (give title Other (specify elow) below) Vice President				
								. Individual or Joint/Group Filing(Check				
				Filed(Month/Day/Year)				Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative Se	curiti	es Acquii	ed, Disposed of,	or Beneficiall	ly Owned		
1.Title of Security (Instr. 3)		on Date 2A. Deemed /Year) Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5) r) (Instr. 8)				Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock	12/01/2010			А	20.4564 (1)	А	\$ 37.26	4,490.8614	D			
Common Stock	01/28/2011			F	138.3236 (2)	D	\$ 34.8	4,352.5378	D			
Common Stock	01/28/2011			А	33.941 <u>(3)</u>	D	\$0	6,336.446	Ι	401K		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Person

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HODGES GRANVILLE R JR 2712 HARTFORD AVENUE FULLERTON, CA 92835-3034			Vice President					
Signatures								
/s/ Granville R. Hodges, Jr.	01/31/20	11						
<u>**Signature of Reporting</u>	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 12/1/2010 as dividend at FMV
- (2) 328previously reported RSU's vested on 1/27/2011 of which 138.3236 were withheld to satisfy tax withholding
- (3) Updated 401K employee contributions of company stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.