

Subrin Philippe  
Form 3  
August 19, 2011

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Subrin Philippe

(Last) (First) (Middle)

1911 WALKER AVENUE

(Street)

MONROVIA, CA 91016

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/15/2011

3. Issuer Name and Ticker or Trading Symbol  
STAAR SURGICAL CO [STAA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner

☒ Officer \_\_\_\_ Other

(give title below) (specify below)

Vice President, Switzerland Op

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock <sup>(1)</sup>

41,500

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Common Stock Options	Â (2)	02/26/2014	Common Stock	15,000	\$ 7.86	D	Â
Common Stock Options	Â (2)	04/06/2015	Common Stock	13,334	\$ 3.95	D	Â
Common Stock Options	Â (2)	04/01/2017	Common Stock	15,000	\$ 5.39	D	Â
Common Stock Options	Â (2)	02/13/2018	Common Stock	35,000	\$ 2.3	D	Â
Common Stock Options	Â (3)	12/14/2020	Common Stock	25,000	\$ 5.68	D	Â
Common Stock Options	Â (4)	03/14/2021	Common Stock	20,000	\$ 5.49	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Subrin Philippe 1911 WALKER AVENUE MONROVIA,Â CAÂ 91016	Â	Â	Â Vice President, Switzerland Op	Â

## Signatures

/s/Charles Kaufman as Attorney-in-Fact for Philippe Subrin 08/19/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes (i) 4,000 restricted shares of common stock, subject to forfeiture and vesting in a single installment on March 2, 2012, and (ii) 7,500 Performance Accelerated Restricted Shares of Common Stock (PARS), subject to forfeiture and vesting in a single installment on March 15, 2014, but subject to accelerated vesting as to 1/3 of the shares each year pursuant to achievement of certain performance criteria.
- (2) Fully vested and exercisable as of the date of this report.
- (3) 8,333 options will vest on December 15, 2011, 8,333 options will vest on December 15, 2012, and the remaining 8,334 options will vest on December 15, 2013.
- (4) 6,666 options will vest 3/15/12, 6,667 options will vest on 3/15/13, and the remaining 6,667 shares will vest on 3/15/14.

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### Remarks:

ThisÂ StatementÂ onÂ FormÂ 3Â listsÂ Mr.Â Subrin'sÂ holdingsÂ ofÂ STAARÂ SurgicalÂ CompanyÂ Non-DerivativeÂ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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