## Edgar Filing: Swanson Richard John - Form 4

Swanson Ric Form 4	chard John												
January 06, 2	2012												
FORM	14										PPROVAL		
	UNITED	STATES				ND EXCI D.C. 2054		GE C	OMMISSION	OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 o	ser <b>STATEN</b> 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									Expires:January 31, 2005Estimated averageburden hours per response0.5		
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								n			
(Print or Type I	Responses)												
Swanson Richard John Symbol			t Solar Technologies, Inc.					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
[ASTI]													
(Last) C/O ASCEN TECHNOLO GRANT ST	NT SOLAR OGIES, INC., 12	Middle) 300	3. Date of (Month/D 01/04/20	ay/Year		nsaction			X_ Director Officer (give below)		Owner er (specify		
	(Street)		4. If Ame	ndment,	Date	e Original			6. Individual or Jo	oint/Group Filir	g(Check		
THORNTO	N, CO 80241		Filed(Mon	th/Day/Y	(ear)				Applicable Line) _X_ Form filed by 0 Form filed by M				
(City)	(State)	(Zip)							Person				
(City)	<b>`</b>			e I - Noi	n-De	rivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3. Transa Code (Instr.	ction	4. Securitie (A) or Disp (Instr. 3, 4 a	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
G				Code	V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock $(1)$	01/04/2012			А		153,416	А	\$0	182,844	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title Deriva Securit (Instr.	ative .ty	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
					Code V	′ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting O	<b>Reporting Owner Name / Address</b>		Relationships						
inporting o		Director	10% Owner	Officer	Other				
Swanson Richard Joh C/O ASCENT SOLA 12300 GRANT STRE THORNTON, CO 80	R TECHNOLOGIES, INC. EET	Х							
Signatures									
/s/ James H.	01/06/2012								

\*\*Signature of Reporting Person

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Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted stock units on January 4, 2012 in connection with 2012 board compensation. Each unit represents a contingent right to
- (1) receive one share of common stock of the issuer. The restricted stock units vest in four equal installments on March 27, 2012, June 26, 2012, September 25, 2012 and December 18, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.