## Edgar Filing: KUMAR AMIT - Form 4

KUMAR AMIT

KUMAR AN Form 4							
January 06, 2		UNITED STATES SECURITIES AND EXCHANGE COMMISSION					
Check th	is hox	Washington, D.C. 20549	Number: 3235-0287 January 31,				
if no long subject to Section 1 Form 4 c	statement <b>STATEMENT</b>	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Form 5 obligations Mage Comparison (Comparison (							
(Print or Type l	Responses)						
1. Name and A KUMAR A	Address of Reporting Person <sup>*</sup> MIT	Symbol	5. Relationship of Reporting Person(s) to Issuer				
		Ascent Solar Technologies, Inc. [ASTI]	(Check all applicable)				
(Last) C/O ASCEI TECHNOL GRANT ST	OGIES, INC., 12300	3. Date of Earliest Transaction (Month/Day/Year) 01/04/2012	_X_ Director 10% Owner Officer (give title Other (specify below) below)				
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
THORNTO	N, CO 80241		Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table I - Non-Derivative Securities Advised to the securities advised to	equired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date 2A. D (Month/Day/Year) Execu any (Mont	1	Beneficially(D) orBeneficialOwnedIndirect (I)OwnershipFollowing(Instr. 4)(Instr. 4)ReportedTransaction(s)(Instr. 3 and 4)				
Common Stock (1)	01/04/2012	A 247,832 A \$0	308,663 D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner N	<b>Reporting Owner Name / Address</b>		Relationships						
	Director	10% Owner	Officer	Other					
KUMAR AMIT C/O ASCENT SOLAR TECHNOLOGIES, INC. 12300 GRANT STREET THORNTON, CO 80241		Х							
Signatures									
/s/ James H. Carroll	01/06/2012								

\*\*Signature of Reporting Person

S /

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted stock units on January 4, 2012 in connection with 2012 board compensation. Each unit represents a contingent right to
- (1) receive one share of common stock of the issuer. The restricted stock units vest in four equal installments on March 27, 2012, June 26, 2012, September 25, 2012 and December 18, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.