Cheung Jimmy Form 4 August 14, 2012

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Cheung Jimmy

(First) (Middle)

1156 W. DUARTE RD #11

ARCADIA, CA 91007

(Street)

2. Issuer Name and Ticker or Trading

Symbol

AMERICAN STATES WATER CO [AWR]

3. Date of Earliest Transaction (Month/Day/Year)

08/10/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Issuer

Officer (give title below)

below) Controller 6. Individual or Joint/Group Filing(Check

Director

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

10% Owner \_\_X\_\_ Other (specify

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	Transaction Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Shares	01/31/2011		A	175 (1)	A	\$ 34	175	D	
Common Shares	03/01/2011		A	1.3746 (2)	A	\$ 33.1	176.3746	D	
Common Shares	06/01/2011		A	1.4444 (3)	A	\$ 34.19	177.819	D	
Common Shares	09/01/2011		A	1.4213 (4)	A	\$ 35.03	179.2403	D	
Common Shares	12/01/2011		A	1.4193 (5)	A	\$ 35.36	180.6596	D	

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Common Shares	01/31/2012	F	24.7091 (9)	D	\$ 35.41	155.9505	D
Common Shares	02/15/2012	A	169 <u>(6)</u>	A	\$ 36.63	324.9505	D
Common Shares	03/01/2012	A	0.9364 (7)	A	\$ 36.38	325.8869	D
Common Shares	06/01/2012	A	2.2301 (8)	A	\$ 36.61	328.117	D
Common Shares	08/10/2012	M	750	A	\$ 34.11	1,078.117	D
Common Shares	08/10/2012	S	750	D	\$ 44	1,578.117	D
Common Shares	08/10/2012	M	500	A	\$ 34.57	828.117	D
Common Shares	08/10/2012	S	500	D	\$ 44	328.117	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 34.11	01/28/2008		A	750	01/27/2009	01/27/2018	Common Shares	750
Employee Stock Options	\$ 34.57	01/30/2009		A	1,000	01/29/2010	01/29/2019	Common Shares	1,000

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(Right to Buy)									
Employee Stock Options (Right to Buy)	\$ 33.36	02/01/2010	A	900		01/31/2011	01/31/2020	Common Shares	900
Employee Stock Options (Right to Buy)	\$ 34.11	08/10/2012	M		750	01/27/2009	01/27/2018	Common Shares	750
Employee Stock Options (Right to Buy)	\$ 34.57	08/10/2012	M		500	01/29/2010	01/29/2019	Common Shares	500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Cheung Jimmy

1156 W. DUARTE RD #11 Controller

ARCADIA, CA 91007

# **Signatures**

/s/ Jimmy
Cheung

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU's awarded on 1/31/2011
- (2) DER units credited on 3/1/2011 as dividend at FMV
- (3) DER units credited on 6/1/2011 as dividend at FMV
- (4) DER units credited on 9/1/2011 as dividend at FMV
- (5) DER units credited on 12/1/2011 as dividend at FMV
- (6) RSU's awarded on 2/15/2012
- (7) DER units credited on 3/1/2012 as dividend at FMV
- (8) DER units credited on 6/1/2012 as dividend at FMV
- (9) 24.7091 shares withheld to satisfy tax withholding of previously granted RSU's

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

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