Edgar Filing: Ascent Solar Technologies, Inc. - Form 4

Ascent Solar Technologies, Inc. Form 4 January 03, 2013

Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES										3235-0287 January 31, 2005 verage		
(Print or Type Respon	ises)											
MARSH G THOMAS Symbol			mbol scent S	er Name and Ticker or Trading Solar Technologies, Inc.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)(First)(Middle)3. Date of (Month/Date)C/O ASCENT SOLAR01/03/20TECHNOLOGIES, INC., 1230001/03/20GRANT STREET01/03/20				-				X Director 10% Owner Officer (give title Other (specify below) below)				
(Street) 4. If Amer				endment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(Mon THORNTON, CO 80241				nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (S	State) (Zip)	Table	I - Non-De	erivative Se	curiti	es Acqu	ired, Disposed of	, or Beneficiall	y Owned		
Security (Mor (Instr. 3)	ransaction Date nth/Day/Year)	2A. Deemed Execution Da any (Month/Day/	ate, if Year)	Transaction	4. Securitie (A) or Disp (Instr. 3, 4 a Amount	osed c		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
$\begin{array}{c} \text{Common} \\ \text{Stock} \ \underline{(1)} \\ \end{array} 01/0$	03/2013			А	120,964	А	\$0	309,942	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address			Relationsh		
FB		Director	10% Owner	Officer	Other
MARSH G THOMAS C/O ASCENT SOLAR TECHNOLOGIES 12300 GRANT STREET THORNTON, CO 80241	, INC.	Х			
Signatures					
/s/ James H. Carroll, Attorney-in-Fact	01/03/2	2013			
<u>**Signature of Reporting Person</u>	Date				

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted stock units on January 3, 2013 in connection with 2013 board compensation. Each unit represents a contingent right to (1) receive one share of common stock of the issuer. The restricted stock units vest in four equal installments on March 27, 2013, June 26, 2013, September 25, 2013 and December 18, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.