Gribbon Stephen Form 4 February 08, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

SECURITIES

See Instruction

1(b).

ROAD

(Print or Type Responses)

1. Name and Address of Reporting Person * Gribbon Stephen

2. Issuer Name and Ticker or Trading Symbol

(Month/Day/Year)

02/06/2013

ADT Corp [ADT]

5. Relationship of Reporting Person(s) to

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

below)

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

C/O THE ADT CORPORATION, 1501 YAMATO

(Street)

_X__ Officer (give title Other (specify Senior Vice President, Sales

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Applicable Line)

BOCA RATON, FL 33431

| (City) | (State) | (Zip) Tal | ole I - Non | -Derivative | Secu | rities Acquii | red, Disposed of, | or Beneficial | ly Owned |
|--------------------------------------|---|---|---------------------------------------|---------------------------------------|-------|---------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transacti Code (Instr. 8) | 4. Securit or Dispos (Instr. 3, | ed of | ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 02/06/2013 | | M | 16,313 | A | \$ 36.4222 | 50,174 | D | |
| Common Stock | 02/06/2013 | | M | 3,603 | A | \$ 18.5745 | 53,777 | D | |
| Common Stock | 02/06/2013 | | M | 2,992 | A | \$ 21.6169 | 56,769 | D | |
| Common Stock | 02/06/2013 | | M | 3,770 | A | \$ 23.8843 | 60,539 | D | |
| Common Stock | 02/06/2013 | | M | 3,013 | A | \$ 28.387 | 63,552 | D | |

Edgar Filing: Gribbon Stephen - Form 4

| | | Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. | | | | | SEC 1474 (9-02) | |
|-----------------|---|--|--------------|-------|-----------------|--------|--------------------|---|
| Common Stock | eport on a separate line for each class of secu | urities ben | eficially ow | ned d | lirectly or ind | 7 | I | By former spouse as custodian for son (2) |
| Common Stock | 02/06/2013 | S | 41,026 | D | \$ 47.9956 (1) | 22,526 | D | Der former |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 36.4222 | 02/06/2013 | | M | 16,313 | (3) | 03/09/2015 | Common Stock | 16,313 |
| Stock Option (Right to Buy) | \$ 18.5745 | 02/06/2013 | | M | 3,603 | <u>(4)</u> | 10/06/2018 | Common Stock | 3,603 |
| Stock Option (Right to Buy) | \$ 21.6169 | 02/06/2013 | | M | 2,992 | <u>(5)</u> | 09/30/2019 | Common Stock | 2,992 |
| Stock Option (Right to Buy) | \$ 23.8843 | 02/06/2013 | | M | 3,770 | <u>(6)</u> | 10/11/2020 | Common Stock | 3,770 |
| Stock Option (Right to Buy) | \$ 28.387 | 02/06/2013 | | M | 3,013 | <u>(7)</u> | 10/11/2021 | Common Stock | 3,013 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gribbon Stephen C/O THE ADT CORPORATION 1501 YAMATO ROAD BOCA RATON, FL 33431

Senior Vice President, Sales

Signatures

/s/ Yu-Cheng Sun as Attorney-in-Fact

02/08/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.88 to \$48.13, inclusive. The reporting person undertakes to provide to The ADT Corporation, any security holder of The ADT Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (3) An option which vested in equal increments on March 10, 2006, 2007 and 2008.
- (4) An option which vested in equal increments on October 7, 2009, 2010, 2011 and 2012.
- (5) An option which vests in equal increments on October 1, 2010, 2011, 2012 and 2013.
- (6) An option which vests in equal increments on October 12, 2011, 2012, 2013 and 2014.
- (7) An option which vests in equal increments on October 12, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3