OCLARO, INC. Form 3
March 15, 2013

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement OCLARO, INC. [OCLR] HITACHI LTD (Month/Day/Year) 07/23/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 6-6, MARUNOUCHI (Check all applicable) 1-CHOME, CHIYODA-KU (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person TOKYO, M0Â 100-8280 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 11,900,000 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect	
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial	
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise Form of	Form of	Ownership	
		(Instr. 4)	Price of	Derivative	(Instr. 5)	
	Date Exercisable	Title	Derivative	Security:		
			Security	Direct (D)		

#### Edgar Filing: OCLARO, INC. - Form 3

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	12/18/2003(1)	12/18/2013	Common Stock	107,100	\$ 35.72	D	Â
Stock Option (Right to Buy)	11/16/2004(1)	12/18/2013	Common Stock	106,050	\$ 35.72	D	Â

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
<b>Fg</b>	Director	10% Owner	Officer	Other		
HITACHI LTD 6-6, MARUNOUCHI 1-CHOME, CHIYODA-KU TOKYO, M0 100-8280	Â	ÂX	Â	Â		

## **Signatures**

/s/ Toshiaki Kuzuoka, Senior Vice President and Executive Officer, Hitachi, Ltd.

03/15/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) "Date Exercisable" is based on the terms of stock option agreements with Opnext, Inc., a company merged with Oclaro, Inc. on July 23, 2012. Subsequent to the merger, options for shares of Opnext, Inc. were converted into options for shares of Oclaro, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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