### REEVES STEVEN A

Form 4

April 12, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* REEVES STEVEN A

2. Issuer Name and Ticker or Trading

Symbol

FLOTEK INDUSTRIES INC/CN/

[FTK]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Street)

(State)

(Month/Day/Year) 04/10/2013

10603 W. SAM HOUSTON PKWY.

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Exec. VP, Operations

10% Owner

Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

HOUSTON, TX 77064

N STE. 300

(City)

| (,)                    | ()                                   | Table                         | e I - Non-L      | erivative s  | Securi | ties Acqu | iirea, Disposea oi      | , or Beneficiall | y Owned               |
|------------------------|--------------------------------------|-------------------------------|------------------|--------------|--------|-----------|-------------------------|------------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.<br>Transactio | 4. Securit   |        | •         | 5. Amount of Securities | 6.<br>Ownership  | 7. Nature of Indirect |
| (Instr. 3)             | •                                    | any                           | Code             | (Instr. 3, 4 | and 5  | 5)        | Beneficially            | Form: Direct     | Beneficial            |
|                        |                                      | (Month/Day/Year)              | (Instr. 8)       |              |        |           | Owned                   | (D) or           | Ownership             |
|                        |                                      |                               |                  |              |        |           | Following               | Indirect (I)     | (Instr. 4)            |
|                        |                                      |                               |                  |              | (4)    |           | Reported                | (Instr. 4)       |                       |
|                        |                                      |                               |                  |              | (A)    |           | Transaction(s)          |                  |                       |
|                        |                                      |                               | Code V           | Amount       | (D)    | Price     | (Instr. 3 and 4)        |                  |                       |
| Common                 | 04/10/2012                           |                               | F                | 22.550       | D      | \$        | 206.050                 | D                |                       |
| Stock                  | 04/10/2013                           |                               | Г                | 23,550       | ע      | 16.07     | 386,850                 | D                |                       |
| Common<br>Stock        |                                      |                               |                  |              |        |           | 21,087                  | I                | Flotek<br>401K        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  | 8. I<br>De<br>Sec<br>(In |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|----------------------------------|--------------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |                          |
| Common<br>Stock                                     | \$ 22.75  |   |   |                                       |   | 03/28/2009   | 03/27/2014         | Common<br>Stock   | 8,680                            |                          |
| Common<br>Stock                                     | \$ 22.75  |   |   |                                       |   | 08/09/2009   | 08/08/2014         | Common<br>Stock   | 7,724                            |                          |
| Common<br>Stock                                     | \$ 2.51   |   |   |                                       |   | 02/16/2010   | 02/15/2015         | Common<br>Stock   | 40,742                           |                          |
| Common<br>Stock                                     | \$ 9.19   |   |   |                                       |   | 04/08/2012   | 04/07/2017         | Common<br>Stock   | 200,000                          |                          |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |

Exec. VP, Operations

REEVES STEVEN A 10603 W. SAM HOUSTON PKWY. N STE. 300 HOUSTON, TX 77064

**Signatures** 

/s/ Steven A.
Reeves

\*\*Signature of Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### **Remarks:**

Disposition of 23,550 shares at a price of \$16.07 per share for tax obligations relative to awards vesting at 04/08/2013, which

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