

AMYRIS, INC.
Form 4
June 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Boisseau Philippe

(Last) (First) (Middle)

24 COURS MICHELET

(Street)

92800 PUTEAUX, IO

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AMYRIS, INC. [AMRS]

3. Date of Earliest Transaction
(Month/Day/Year)
05/29/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					16,208,806	I	By Total Energies Nouvelles Activites USA ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title of Underlying Security (Instr. 3)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
1.5% Senior Convertible Note Due 2017 ("Note")	\$ 7.0682	05/29/2014		J ⁽²⁾			\$ 18,005,751.86 ⁽²⁾	⁽²⁾	⁽²⁾	Convertible Senior Notes
1.5% Senior Convertible Note Due 2017 ("New Note")	\$ 7.0682	05/29/2014		P		\$ 8,300,751.86 ⁽³⁾		⁽³⁾	⁽³⁾	Convertible Senior Notes
6.5% Convertible Senior Notes Due 2019 ("6.5% Notes")	⁽⁴⁾	05/29/2014		P		\$ 9,705,000 ⁽⁵⁾		⁽⁵⁾	⁽⁵⁾	Convertible Senior Notes

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Boisseau Philippe 24 COURS MICHELET 92800 PUTEAUX, IO	X	X		

Signatures

/s/ Philippe Boisseau by Nicholas Khadder,
Attorney-in-Fact

06/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Mr. Boisseau, a member of the Issuer's board of directors, is a member of the Executive Committee of Total S.A., the ultimate parent company of Total Energies Nouvelles Activites USA, and, as such, may be deemed to share voting or investment power over the securities held by Total Energies Nouvelles Activites USA. Mr. Boisseau holds no shares of the Issuer directly and disclaims beneficial

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ownership of the Common Stock, except to the extent of his pecuniary interest therein, if any.

- Note was cancelled pursuant to that certain Request Letter dated May 19, 2014 and that certain Acknowledgment of Prepayment dated
- (2) May 29, 2014, each delivered by the Reporting Person and accepted by the Issuer. The Issuer's obligations under the Note were cancelled upon the issuances of a new 1.5% Senior Convertible Note Due 2017 and new 6.5% Convertible Senior Notes due 2019.
 - (3) The principal amount of the New Note is \$8,300,751.86. The New Note is convertible only in those circumstances described in the New Note. The Final Maturity Date as defined in the New Note is March 1, 2017.
 - (4) 1,000 divided by the Applicable Conversion Rate as defined in the 6.5% Notes, which is initially 267.0370 shares of Common Stock per \$1,000 Principal Amount of Notes, subject to adjustment as set forth therein.
 - (5) The principal amount of the 6.5% Notes is \$9,705,000. The 6.5% Notes are convertible only in those circumstances described in the 6.5% Notes. The Final Maturity Date as defined in the 6.5% Notes is May 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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