Edgar Filing: VMWARE, INC. - Form 4

| VMWARE, I Form 4 | | | | | | | | | | | |
|--|---|---|-----------------------------------|---|---|--------------------------------------|---------|--|--|---|--|
| June 17, 2014 FORM | 4 UNITE | 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB APPROVAL OMB 3235-0287 Number: | |
| Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b). | er STATI 5. Filed p ¹⁵ Section 1 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | burden hou response | Estimated average burden hours per | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Krysler P. Kevan Syn | | | Symbol | Name and | | Fradin | g | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | | RE, INC. Earliest Tra | | | | (Cheo | ck all applicable | e) | |
| (Month/I 3401 HILLVIEW AVENUE 06/13/2 (Street) 4. If Amo | | | (Month/Da 06/13/20 | ay/Year) | liisaction | | | Director 10% Owner Officer (give title Other (specify below) Sr.Vice President, CAO | | | |
| | | | | Amendment, Date Original I(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| PALO ALTO | O, CA 94304 | | | | | | | Form filed by I Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ear) Executi any | emed on Date, if /Day/Year) | Code (Instr. 8) | 4. Securi onAcquired Disposed (Instr. 3, Amount | (A) o of (D 4 and (A) or |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class A Common Stock | 06/13/2014 | | | А | 3,489 | A | \$0 | 29,037 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | xecution Date, if Transaction f Derivative 1 ny Code Securities (| | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 1 22 () |
|---|---|---|---|--|---------|--|--------------------|---|--|--------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Option (right to buy) | \$ 95.05 | 06/13/2014 | | A | 2,908 | <u>(1)</u> | 06/13/2021 | Class A Common Stock | 2,908 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|------------------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Krysler P. Kevan 3401 HILLVIEW AVENUE PALO ALTO, CA 94304 | | | Sr.Vice President, CAO | | | | |
| Signatures | | | | | | | |

Date

Larry Wainblat, 06/17/2014 attorney-in-fact

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 25% of the option shares will vest on May 1, 2015. The remaining 75% will vest in equal monthly installments thereafter for 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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