Edgar Filing: JOHNSON CONTROLS INC - Form 4

JOHNSON CONT Form 4 October 04, 2006	FROLS INC									
FORM 4							OMB APPROVAL			
	UNITED S'	TATES SI		ITIES AN hington, D			COMMISSION	OMB Number:	3235-0287	
Check this box if no longer								Expires:	January 31, 2005	
subject to	ENT OF C	F CHANGES IN BENEFICIAL OWNERSHIP				NERSHIP OF	Estimated a			
Section 16. Form 4 or							burden hours per response 0.5			
Form 5	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						response	0.5		
obligations may continue. <i>See</i> Instruction 1(b).	-	of the Pub	olic Uti	lity Holdin	ng Com		f 1935 or Sectio	n		
(Print or Type Respon	ises)									
MYERS C DAVID Sy			2. Issuer Name and Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (l	First) (Mi						(Chec	k all applicable	e)	
(M			3. Date of Earliest Transaction (Month/Day/Year) 10/02/2006				Director X Officer (give below) V		o Owner er (specify	
(Street) 4			4 If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
MILWAUKEE, V	Filed(Month/Day/Year)			originar		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (S	Stata) (7	(in)								
(City) (S	State) (Z	Cip)	Table	I - Non-Dei	rivative S	ecurities Aco	quired, Disposed o		-	
	ransaction Date onth/Day/Year)	2A. Deemed Execution D any (Month/Day	Date, if	Transaction Code	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock					- inount	(2) 1100	10,000 (1)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Restricted Stock Grant	(2)					(2)	(2)	Common Stock
Stock Option	\$ 73.1					01/03/2008(4)	01/03/2016	Common Stock
Stock Option	\$ 71.895	10/02/2006		А	64,000	10/02/2008(4)	10/02/2016	Common Stock

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	nships Officer Vice President 0/04/2006 Date	Other			
MYERS C DAVID 5757 N GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201							
Signatures							
Arlene Gumm Attorney-In-Fact for Myers	C. David	1	0/04/2006				
**Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 10,000 shares for a restricted stock grant. Fifty percent vests in two years and the balance after four years.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were (2) accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock.
- (3) Represents 110.363 phantom stock units acquired through reinvestment of dividends on March 31, June 30 and September 29, 2006, at prices ranging from \$71.74 to \$82.88 per phantom unit.
- (4) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.