**ENTEGRIS INC** 

Form 4

February 22, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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5 Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Jaguar Nama and Tiakar or Tradina

1(b).

Common

Common

Stock

Stock

02/21/2017

02/21/2017

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

| GRAVES GREGORY B                            |                                         |                                            | 2. Issuer Name and Ticker or Trading Symbol ENTEGRIS INC [ENTG] |                 |                             |              |                                                                                                   | Issuer                                                                                                             |                                                          |                                                                   |  |
|---------------------------------------------|-----------------------------------------|--------------------------------------------|-----------------------------------------------------------------|-----------------|-----------------------------|--------------|---------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|--|
| (Last) (First) (Middle) 4613 DREXEL AVE. S. |                                         |                                            | 3. Date of Earliest Transaction (Month/Day/Year) 02/19/2017     |                 |                             |              | -                                                                                                 | (Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specify                                    |                                                          |                                                                   |  |
| TOTS DICLA                                  | (Street)                                |                                            |                                                                 |                 |                             |              | be                                                                                                | elow)<br>EV                                                                                                        | below)<br>P & CFO                                        | `*                                                                |  |
|                                             |                                         | Filed(Month/Day/Year)                      |                                                                 |                 |                             | A            | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |                                                                                                                    |                                                          |                                                                   |  |
| EDINA, MN                                   | N 55424                                 |                                            |                                                                 |                 |                             |              | Form filed by More than One Reporting Person                                                      |                                                                                                                    |                                                          |                                                                   |  |
| (City)                                      | (State)                                 | (Zip)                                      | Tab                                                             | le I - Non-     | Derivative                  | Secur        | rities Acquir                                                                                     | red, Disposed of,                                                                                                  | or Beneficiall                                           | y Owned                                                           |  |
|                                             | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemo<br>Execution<br>any<br>(Month/Da | Date, if                                                        | Code (Instr. 8) | omr Dispose<br>(Instr. 3, 4 | ed of (A) or | 5)                                                                                                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                             | 02/19/2017                              |                                            |                                                                 | Code V<br>F     | Amount 7,743                | (D)          | Price \$ 21.95                                                                                    | 84,080                                                                                                             | D                                                        |                                                                   |  |
| Common<br>Stock                             | 02/21/2017                              |                                            |                                                                 | M               | 72,954                      | A            | \$ 11.71                                                                                          | 157,034                                                                                                            | D                                                        |                                                                   |  |
| Common<br>Stock                             | 02/21/2017                              |                                            |                                                                 | S <u>(1)</u>    | 72,954                      | D            | \$ 22.064<br>(3)                                                                                  | 84,080                                                                                                             | D                                                        |                                                                   |  |

M

 $S^{(2)}$ 

18,948 A

18,948 D

\$ 9.88

22.0649

(4)

103,028

84,080

D

D

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| Common<br>Stock | 02/21/2017 | S | 9,217  | D | \$<br>22.0177<br>(5) | 74,863 | D |
|-----------------|------------|---|--------|---|----------------------|--------|---|
| Common<br>Stock | 02/21/2017 | S | 14,217 | D | \$<br>22.0655<br>(4) | 60,646 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------|---------------------------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | (A) (D)                                                                                   | Date<br>Exercisable                                      | Expiration Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 11.71                                                              | 02/21/2017                              |                                                             | M                                      | 72,954                                                                                    | <u>(6)</u>                                               | 02/19/2021      | Common<br>Stock                                                     | 72,954                              |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 9.88                                                               | 02/21/2017                              |                                                             | M                                      | 18,948                                                                                    | (8)                                                      | 02/19/2020      | Common<br>Stock                                                     | 18,948                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Ketationships |           |           |       |  |  |  |
|--------------------------------|---------------|-----------|-----------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer   | Other |  |  |  |
| GRAVES GREGORY B               |               |           |           |       |  |  |  |
| 4613 DREXEL AVE. S.            |               |           | EVP & CFO |       |  |  |  |
| EDINA, MN 55424                |               |           |           |       |  |  |  |

Reporting Owners 2

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### **Signatures**

/s/ Sue Lee, Attorney-In-Fact for Gregory Graves

02/22/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 Trading Plan established by the Reporting Person on November 29, 2016.
- (2) These shares were sold pursuant to a Rule 10b5-1 Trading Plan established by the Reporting Person on August 19, 2016.
  - The price in column 4 is a weighted average price reported in reliance on a letter from the Office of Chief Counsel, Securities and Exchange Commission's Division of Corporate Finance to the Society of Corporate Secretaries & Corporate Governance Professionals
- (3) dated June 25, 2008. These shares were sold in multiple transactions at prices ranging from \$21.85 to \$22.20 inclusive. The Reporting Person undertakes to provide Entegris, Inc., any shareholder of Entegris, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
  - The price in column 4 is a weighted average price reported in reliance on a letter from the Office of Chief Counsel, Securities and Exchange Commission's Division of Corporate Finance to the Society of Corporate Secretaries & Corporate Governance Professionals
- (4) dated June 25, 2008. These shares were sold in multiple transactions at prices ranging from \$21.90 to \$22.20 inclusive. The Reporting Person undertakes to provide Entegris, Inc., any shareholder of Entegris, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
  - The price in column 4 is a weighted average price reported in reliance on a letter from the Office of Chief Counsel, Securities and Exchange Commission's Division of Corporate Finance to the Society of Corporate Secretaries & Corporate Governance Professionals
- (5) dated June 25, 2008. These shares were sold in multiple transactions at prices ranging from \$21.90 to \$22.10 inclusive. The Reporting Person undertakes to provide Entegris, Inc., any shareholder of Entegris, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- This options vests in four equal installments. The first three installments became exercisable on February 19, 2015, February 19, 2016 and February 19, 2017, respectively. The last installment becomes exercisable on February 19, 2018.
- (7) This option was granted pursuant to the Entegris, Inc. 2010 Stock Plan, as amended, in consideration of services as an employee.
- (8) This option is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3