Edgar Filing: DYNAVAX TECHNOLOGIES CORP - Form 3

DYNAVAX TECHNOLOGIES CORP

Form 3

November 01, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement DYNAVAX TECHNOLOGIES CORP [DVAX] OSTRACH MICHAEL S (Month/Day/Year) 10/30/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O DYNAVAX (Check all applicable) **TECHNOLOGIES** CORPORATION. 2929 10% Owner Director SEVENTH STREET, SUITE 100 _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Chief Business Officer Filing(Check Applicable Line) _X_ Form filed by One Reporting Person BERKELEY, CAÂ 94710 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â No securities owned. 0 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and Securities Underlying Conversion Ownership Operivative Security

Expiration Date Securities Underlying Operivative Security

Derivative Security

3. Title and Amount of Securities Underlying Operivative Security

The security of Exercise Operivative Security

Operivative Security

The security of Exercise Security of Exercise Security

1. Title of Derivative Security

Securities Underlying Operivative Security

The securities Underlying Operivative Security Operivative Securities Underlying Operivativ

Edgar Filing: DYNAVAX TECHNOLOGIES CORP - Form 3

(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address Director 10% Owner Officer Other OSTRACH MICHAEL S C/O DYNAVAX TECHNOLOGIES CORPORATION 2929 SEVENTH STREET, SUITE 100 $\hat{A} \qquad \hat{A} \qquad \hat{A} \qquad \hat{A} \qquad \hat{Chief Business Officer} \qquad \hat{A}$

Signatures

BERKELEY, CAÂ 94710

/s/ Michael S.
Ostrach

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2