### Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

### HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

February 23, 2007

| FORM 4 UNITED STATES SECURITIES AND EVOLUNCE COMMISSION    |  |        |   |       |  |                                       | OMB APPROVAL   |  |                       |  |
|--|--|--------|---|-------|--|---------------------------------------|--|--|-----------------------|--|
| Washington, D.C. 20549                                     |  |        |   |       |  |                                       |  | OMB<br>Number:   | 3235-0287             |  |
|  | Check this box   |        |   |       |  |                                       |  |  | January 31,           |  |
| subject to<br>Section 1                                    | if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES   |        |   |       |  |                                       | Expires: 2000<br>Estimated average burden hours per response 0.  |  |                       |  |
| may conti  | Form 5 obligations may continue. See Instruction  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |        |   |       |  |                                       |  |  |                       |  |
| (Print or Type Responses)                                  |  |        |   |       |  |                                       |  |  |                       |  |
| DE RAISMES ANN M Symbol                                    |  |        | Name and Ticker or Trading ORD FINANCIAL  |       |  |                                       | 5. Relationship of Reporting Person(s) to Issuer  (Chack all applicable)   |  |                       |  |
|  | CES GRO  | UP INC | DE [  | [HIG] | (Check all applicable)   |                                       |  |  |                       |  |
| (Last)   | (First) (Mi  |        |   |       |  |                                       | Director   |  | Owner                 |  |
| THE HARTFORD FINANCIAL 02/21/2007 SERVICES GROUP, HARTFORD |  |        |   |       |  | · · · · · · · · · · · · · · · · · · · | below) ve Vice Preside   | r (specify<br>ent  |                       |  |
| PLAZA  |  |        |   |       |  |                                       |  |  |                       |  |
| HARTFORI   | ndment, Date Original<br>hth/Day/Year)   |        |   |       | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting Person |                                       |  |  |                       |  |
|  |  |        |   |       |  |                                       |  |  |                       |  |
| 1.Title of<br>Security<br>(Instr. 3)                       | 2. Transaction Date  | Tabl   | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price |       |  | cquired ed of (D)                     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect |  |
| Restricted<br>Stock Units                                  |  |        |   |       | . ,  |                                       | 4,819.4  | D  |                       |  |
| Restricted<br>Stock  |  |        |   |       |  |                                       | 6,667  | D  |                       |  |
| Common<br>Stock  | 02/21/2007   |        | M <u>(1)</u>  | 7,576 | A  | \$<br>97.11                           | 17,560   | D  |                       |  |
| Common<br>Stock  | 02/21/2007   |        | F(2)  | 2,393 | D  | \$<br>97.11                           | 15,167   | D  |                       |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Numb<br>onDerivati<br>Securitie<br>Acquired<br>Disposed<br>(Instr. 3, | ve<br>es<br>d (A) or<br>d of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Securi<br>(Instr. 3 and 4) |                           |
|---|---|---|---|--|--|----------------------------------|--|--------------------|--|---------------------------|
|   |   |   |   | Code V                                 | (A)  | (D)                              | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amo<br>or<br>Nun<br>of Sl |
| Stock Option  | \$ 62.07  |   |   |  |  |                                  | <u>(3)</u>   | 02/23/2011         | Common<br>Stock  | 9,6                       |
| Stock Option  | \$ 65.85  |   |   |  |  |                                  | <u>(4)</u>   | 02/23/2012         | Common<br>Stock  | 11,                       |
| Stock Option  | \$ 65.99  |   |   |  |  |                                  | (5)  | 02/22/2014         | Common<br>Stock  | 11,                       |
| Stock Option  | \$ 71.27  |   |   |  |  |                                  | <u>(6)</u>   | 02/19/2015         | Common<br>Stock  | 7,6                       |
| Stock Option  | \$ 83   |   |   |  |  |                                  | <u>(7)</u>   | 02/15/2016         | Common<br>Stock  | 6,5                       |
| Performance<br>Shares                               | \$ 97.11  | 02/21/2007                              |   | A(1)                                   | 7,576  |                                  | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock  | 7,5                       |
| Performance<br>Shares                               | \$ 97.11  | 02/21/2007                              |   | M(1)                                   |  | 7,576                            | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock  | 7,5                       |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

DE RAISMES ANN M THE HARTFORD FINANCIAL SERVICES GROUP HARTFORD PLAZA HARTFORD, CT 06115

**Executive Vice President** 

Reporting Owners 2

## **Signatures**

/s/ Amanda Grabowski Aquino, POA for Ann M. de Raismes by Power of Attorney of Ann M. de Raismes dated February 19, 2004

02/23/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 21, 2007, the Company's Compensation and Personnel Committee awarded a performance share payout, based on the level of the Company's performance relative to pre-established performance objectives, for the January 1, 2004 December 31, 2006 performance period. The performance shares were paid in shares of the Company's common stock.
- (2) Transaction involving the disposition to the Company of equity securities to cover tax withholding obligations in accordance with the Company's administrative rules.
- (3) The option became fully exercisable as of February 21, 2004, the third anniversary of the grant date.
- (4) The option became fully exercisable as of February 21, 2005, the third anniversary of the grant date.
- (5) The option became fully exercisable as of February 18, 2007, the third anniversary of the grant date.
  - The option becomes fully exercisable upon the later of: (i) the closing price of the Issuer's Common Stock on the New York Stock
- (6) Exchange reaches 125% of the grant price for at least 10 consecutive trading days or (ii) February 17, 2008, three years from the date of grant. The closing price condition to vesting was met on May 16, 2006.
- (7) The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 15, 2009, three years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3