### Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

#### HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

February 20, 2009

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

1(b).

(Last)

1.Title of

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \* MARRA THOMAS M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

HARTFORD FINANCIAL SERVICES GROUP INC/DE [HIG]

(Check all applicable)

President and COO

(First)

(Month/Day/Year) 02/18/2009

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

THE HARTFORD FINANCIAL SERVICES GROUP -, ONE

HARTFORD PLAZA (Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Applicable Line)

5. Amount of

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

HARTFORD, CT 06155

(City) (State) (Zip)

02/18/2009

2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired

3.186 D

Transaction(A) or Disposed of (D) Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct (Month/Day/Year) (Instr. 8) Owned (D) or Following Indirect (I) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount Price (D) Restricted 33,153 D Stock Units

 $F^{(1)}$ 

3.

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

159,262

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7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

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 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date Under (Month/Day/Year) (Instr.		7. Title and A Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 62.07					(2)	02/23/2011	Common Stock	77,887	
Stock Option	\$ 65.85					(3)	02/23/2012	Common Stock	138,850	
Stock Option	\$ 65.99					<u>(4)</u>	02/20/2014	Common Stock	63,723	
Stock Option	\$ 71.27					(5)	02/19/2015	Common Stock	43,339	
Stock Option	\$ 83					<u>(6)</u>	02/15/2016	Common Stock	37,789	
Stock Option	\$ 93.69					<u>(7)</u>	02/27/2017	Common Stock	33,722	
Stock Option	\$ 92.69					(8)	07/30/2017	Common Stock	4,994	
Stock Option	\$ 74.88					<u>(9)</u>	02/26/2018	Common Stock	57,181	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
MARRA THOMAS M					
THE HARTFORD FINANCIAL SERVICES GROUP -	v		President		
ONE HARTFORD PLAZA	X		and COO		
HARTFORD, CT 06155					

## **Signatures**

/s/ Amanda Grabowski Aquino, POA for Thomas M. Marra by Power of Attorney of Thomas M. Marra dated July 26, 2007

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction involving a disposition to the Company of equity securities upon the exercise of tax withholding rights in connection with the vesting of shares of Restricted Stock.
- (2) The option became fully exercisable as of July 27, 2005, following the achievement of the following criteria: the closing price of the Issuer's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (3) The option became fully exercisable on November 17, 2005, following the achievement of the following criteria: the closing price of the Issuer's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (4) The option became fully exercisable as of February 18, 2007, the third anniversary of the grant date.
- (5) The option became fully exercisable on February 17, 2008, the third anniversary of the grant date.
- (6) The option became fully exercisable on February 15, 2009, the third anniversary of the grant.
- The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 27, 2010, three years from the date of the grant.
- (8) The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) July 30, 2010, three years from the date of the grant.
- The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 26, 2011, three years from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.