#### OFFICIAL PAYMENTS HOLDINGS, INC.

Form 4

November 05, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Mohapatra Sandip Issuer Symbol OFFICIAL PAYMENTS (Check all applicable) HOLDINGS, INC. [OPAY] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_ Officer (give title Other (specify (Month/Day/Year) below) 3550 ENGINEERING 11/05/2013 Chief Technology Officer DRIVE, SUITE 400 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NORCROSS, GA 30092

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|---|---|---|---|--|--|---|
| Common<br>Stock                      | 11/05/2013                              | 11/05/2013  | Code V                                  | (A) or Amount (D) Price \$ 8.35                                     | Reported Transaction(s) (Instr. 3 and 4)                         | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|--|---|---------|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                 | (A)   | (D)     | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount of<br>Number of<br>Shares |
| Stock<br>Options                                    | \$ 8.35   | 11/05/2013                              | 11/05/2013  | S                                      |   | 80,000  | 11/05/2013   | 11/05/2013         | Common<br>Stock   | 80,000                           |
| Stock<br>Options                                    | \$ 8.35   | 11/05/2013                              | 11/05/2013  | S                                      |   | 100,000 | 11/05/2013   | 11/05/2013         | Common<br>Stock   | 100,00                           |
| Stock<br>Options                                    | \$ 8.35   | 11/05/2013                              | 11/05/2013  | S                                      |   | 25,000  | 11/05/2013   | 11/05/2013         | Common<br>Stock   | 25,000                           |
| Stock<br>Options                                    | \$ 8.35   | 11/05/2013                              | 11/05/2013  | S                                      |   | 50,000  | 11/05/2013   | 11/05/2013         | Common<br>Stock   | 50,000                           |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mohapatra Sandip 3550 ENGINEERING DRIVE SUITE 400

NORCROSS, GA 30092

Chief Technology Officer

## **Signatures**

/s/ Jack Browne for Sandip Mohapatra

11/05/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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