#### Edgar Filing: GENERAL DYNAMICS CORP - Form 3

#### GENERAL DYNAMICS CORP

Form 3

November 12, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GENERAL DYNAMICS CORP [GD] Geiger Jeffrey S (Month/Day/Year) 11/04/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O GENERAL DYNAMICS (Check all applicable) CORPORATION, Â 2941 **FAIRVIEW PARK DRIVE** 10% Owner Director (Street) \_X\_\_ Officer \_Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Vice President \_X\_ Form filed by One Reporting Person FALLS Form filed by More than One CHURCH, VAÂ 22042-4513 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock, \$1.00 par value 21,931 D Â Common Stock, \$1.00 par value 10.600 (1) D Â Common Stock, \$1.00 par value  $2,490^{(2)}$ D I Common Stock, \$1.00 par value 146.619 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Options	(3)	03/02/2015	Common Stock	25,300	\$ 73.49	D	Â
Stock Options	(4)	03/01/2018	Common Stock	29,410	\$ 74.81	D	Â
Stock Options	(5)	03/06/2019	Common Stock	26,370	\$ 71.01	D	Â
Stock Options	(6)	03/05/2020	Common Stock	38,210	\$ 67.7	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
eiger Jeffrey S						

Geiger Jeffrey S C/O GENERAL DYNAMICS CORPORATION 2941 FAIRVIEW PARK DRIVE FALLS CHURCH, VAÂ 22042-4513

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Vice President  $\hat{A}$ 

## **Signatures**

Neal Wheeler, by power of attorney 11/12/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock subject to service-based vesting that will be released approximately four years after the grant date.
- Represents a target number of restricted stock units ("RSUs"), each of which entitles the Reporting Person to receive one share of General Dynamics common stock. The number of RSUs ultimately earned by the Reporting Person will be determined based on a performance metric tied to return on invested capital during the year of grant and may range from 0% to 200% of the target amount. Following the
- metric tied to return on invested capital during the year of grant and may range from 0% to 200% of the target amount. Following the performance period, the earned RSUs are subject to an additional three year time-vesting period.
- (3) Fifty percent became exercisable on 03/03/2011 and the remaining fifty percent became exercisable on 03/03/2012.
- (4) Fifty percent became exercisable on 03/02/2012 and the remaining fifty percent became exercisable on 03/02/2013.
- (5) Fifty percent became exercisable on 03/07/2013 and the remaining fifty percent will become exercisable on 03/07/2014.
- (6) Fifty percent will become exercisable on 03/06/2014 and the remaining fifty percent will become exercisable on 03/06/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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