AMYRIS, INC. Form 4 May 27, 2014

### FORM 4

### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

burden hours per response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Maxwell (Mauritius) Pte Ltd

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol AMYRIS, INC. [AMRS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Check all applicable)

C/O IMM, LES

(Street)

(State)

CASCADES, EDITH CAVELL

(Month/Day/Year) 05/22/2014

Officer (give title below)

X 10% Owner \_ Other (specify

**STREET** 

(City)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PORT LOUIS, 04 238891

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned **Following** Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Common

Stock, par value

\$0.0001

 $D^{(1)}$ 13,023,848

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and A Underlying S (Instr. 3 and	Securitie
	,			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
6.50% Convertible Senior Notes due 2019 (the "Notes")	\$ 3.74 (2)	05/22/2014		P(3)	\$ 10,000,000 (2)	(2)	<u>(2)</u>	Common Stock	10,00

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Maxwell (Mauritius) Pte Ltd C/O IMM, LES CASCADES EDITH CAVELL STREET PORT LOUIS, O4 238891		X				
Cairnhill Investments (Mauritius) Pte Ltd C/O IMM, LES CASCADES EDITH CAVELL STREET PORT LOUIS, O4		X				
Temasek Holdings (Private) Ltd 60B ORCHARD ROAD, #06-18 TOWER 2 THE ATRIUM@ORCHARD U0 238891		X				
Fullerton Management Pte Ltd 60B ORCHARD ROAD, #06-18 TOWER 2 THE ATRIUM@ORCHARD U0 238891		X				

# **Signatures**

/s/ Rooksana Shahabally, Director, on behalf of Maxwell (Mauritius) Pte Ltd	05/27/2014		
**Signature of Reporting Person	Date		
/s/ Eu Jin Chua, Authorized Signatory, on behalf of Temasek Holdings (Private) Limited			
**Signature of Reporting Person	Date		
/s/ Sio Han Boon, Director, on behalf of Fullerton Management Pte Ltd	05/27/2014		

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\*\*Signature of Reporting Person

Date

/s/ Weng Chuen Poy, Director, on behalf of Cairnhill Investments (Mauritius) Pte Ltd

05/27/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Following the reported transaction, Maxwell (Mauritius) Pte Ltd is deemed to be the direct beneficial owner of 13,023,848 shares of common stock of Amyris, Inc. ("Amyris"). Maxwell (Mauritius) Pte Ltd is wholly-owned by Cairnhill Investments (Mauritius) Pte Ltd.,
- (1) which in turn is wholly-owned by Fullerton Management Pte Ltd, which in turn is wholly-owned by Temasek Holdings (Private) Limited. Therefore, each of Temasek Holdings (Private) Limited, Fullerton Management Pte Ltd and Cairnhill Investments (Mauritius) Pte Ltd. may be deemed to beneficially own the shares of common stock directly owned by Maxwell (Mauritius) Pte Ltd.
  - The Notes are convertible into shares of Amyris common stock at the option of the holder at any time prior to the close of business on the business day immediately preceding the maturity date. The maturity date of the Notes is May 15, 2019. The Notes have an initial
- (2) conversion rate of 267.0370 shares of Amyris common stock per \$1,000 principal amount of Notes, subject to adjustment. This represents an initial effective conversion price of approximately \$3.74 per share. The face value of the Notes purchased is \$10,000,000 and such Notes are convertible into 2,670,370 shares of Amyris common stock.
- (3) The Notes were purchased in a sale made pursuant to Rule 144A of the Securities Act of 1933, as amended. The sale is expected to close on May 29, 2014, subject to customary closing conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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