#### **CHART INDUSTRIES INC**

Form 4 July 02, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number:

3235-0287

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Check this box

if no longer

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

(Month/Day/Year) Execution Date, if

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KRABLIN STEVEN W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

CHART INDUSTRIES INC [GTLS]

3. Date of Earliest Transaction

(Check all applicable)

C/O CHART INDUSTRIES.

(First)

(Month/Day/Year) 07/01/2014

X\_ Director 10% Owner Officer (give title Other (specify

INC., ONE INFINITY CORPORATE CENTRE DRIVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

GARFIELD HEIGHTS, OH 44125

(Street)

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4) Price Code V Amount (D)

07/01/2014

291 \$0 9,615 (2) Α

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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1

(9-02)

Common

Security

(Instr. 3)

stock, par value \$0.01

per share (1)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount of		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative				Securities		(Instr. 5)	Bene
	Derivative				Securities Acquired (A) or			(Instr. 3	and 4)		Own
	Security										Follo
	•										Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3, 4, and 5)						
								Λ.	mount		
									mount		
						Date	Expiration Date	Title N	r Jumber		
						Exercisable		Title Numb			
				C + V	(A) (D)						
			Code V (A) (D)					S	hares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

10% Owner Officer Other Director

KRABLIN STEVEN W C/O CHART INDUSTRIES, INC. ONE INFINITY CORPORATE CENTRE DRIVE GARFIELD HEIGHTS, OH 44125



# **Signatures**

/s/ Steven W. Krablin, by Matthew J. Klaben, his attorney-in-fact pursuant to Power of Attorney dated July 21, 2006 on file with the Commission

07/02/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These securities were granted on July 1, 2014 pursuant to the terms of a stock award agreement under the Chart Industries, Inc. Amended **(1)** and Restated 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3.
- Includes 8,843 vested stock units which previously have been deferred. 5,782 and 3,061 of the vested stock units herein were granted by the Issuer under the Amended and Restated Chart Industries, Inc. 2005 Stock Incentive Plan and the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity Plan, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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