#### Edgar Filing: LIFETIME BRANDS, INC - Form 3

#### LIFETIME BRANDS, INC

Form 3

January 20, 2016

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement LIFETIME BRANDS, INC [LCUT] Mill Road Capital II, L.P. (Month/Day/Year) 01/15/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 382 GREENWICH (Check all applicable) AVENUE, Â SUITE ONE (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer \_Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Person GREENWICH, CTÂ 06830 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5)  $D_{\underline{(1)}}$ Â Common Stock, \$0.01 par value 1,402,739 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative Sec<br>(Instr. 4) | urity | 2. Date Exercisable and Expiration Date (Month/Day/Year) | Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|-------|--|--|---|---|---|
|  |       |  | Title  | Security                                      | Direct (D)                                |   |

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Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |      |  |
|---|---------------|-----------|---------|------|--|
| reporting of their remover removes  | Director      | 10% Owner | Officer | Othe |  |
| Mill Road Capital II, L.P.<br>382 GREENWICH AVENUE<br>SUITE ONE<br>GREENWICH, CT 06830  | Â             | ÂX        | Â       | Â    |  |
| Mill Road Capital II GP LLC<br>382 GREENWICH AVENUE<br>SUITE ONE<br>GREENWICH, CT 06830 | Â             | ÂX        | Â       | Â    |  |
| LYNCH THOMAS E<br>382 GREENWICH AVENUE<br>SUITE ONE<br>GREENWICH, CT 06830              | Â             | ÂΧ        | Â       | Â    |  |
| Scharfman Scott<br>382 GREENWICH AVENUE<br>SUITE ONE<br>GREENWICH, CT 06830             | Â             | ÂX        | Â       | Â    |  |

# **Signatures**

| /s/ Scott P. Scharfman, Management Committee Director of sole general partner on behalf of Mill Road Capital II, L.P. |            |  |  |
|---|------------|--|--|
| **Signature of Reporting Person   | Date       |  |  |
| /s/ Scott P. Scharfman, Management Committee Director on behalf of Mill Road Capital II GP LLC                        | 01/20/2016 |  |  |
| **Signature of Reporting Person   | Date       |  |  |
| /s/ Scott P. Scharfman on behalf of Thomas E. Lynch by power of attorney  |            |  |  |
| **Signature of Reporting Person   | Date       |  |  |
| /s/ Scott P. Scharfman  | 01/20/2016 |  |  |
| **Signature of Reporting Person   | Date       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares reported are directly held by Mill Road Capital II, L.P. (the "Fund"). Mill Road Capital II GP LLC (the "GP") is the sole

general partner of the Fund and has sole authority to vote (or direct the vote of), and to dispose (or direct the disposal) of, these shares on behalf of the Fund. Each of Messrs. Lynch and Scharfman is a management committee director of the GP and has shared authority to vote (or direct the vote of), and to dispose (or direct the disposal) of, these shares on behalf of the GP. Each of the Reporting Persons disclaims beneficial ownership of such shares except to the extent of his or its pecuniary interest therein, if any.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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