### Edgar Filing: C H ROBINSON WORLDWIDE INC - Form 4

C H ROBINSON WORLDWIDE INC Form 4 February 04, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LINDBLOOM CHAD Issuer Symbol C H ROBINSON WORLDWIDE (Check all applicable) INC [CHRW] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) 14701 CHARLSON ROAD 12/02/2015 VP, Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting EDEN PRAIRIE, MN 55347 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 8,178 02/02/2016 Α Α \$0 143,573 D (1)Stock Common 02/03/2016 F 9,929 D 133,644 (2) D 64.77 Stock Common By 401(k) 295 I Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 63.58	12/02/2015		А	30,150	(3)	12/02/2025	Common Stock	30,150
Option (right to buy)	\$ 63.53	12/24/2015		А	1,890	(4)	12/24/2025	Common Stock	1,890
Option (right to buy) (5)	\$ 74.57	02/02/2016		А	7,263	02/02/2016	12/03/2024	Common Stock	7,263
Option (right to buy) <u>(6)</u>	\$ 58.25	02/02/2016		А	7,925	02/02/2016	12/04/2023	Common Stock	7,925
Option (right to buy) (7)	\$ 61.91	02/02/2016		А	5,496	02/02/2016	12/05/2022	Common Stock	5,496
Option (right to buy) (8)	\$ 68.81	02/02/2016		А	3,804	02/02/2016	12/07/2021	Common Stock	3,804

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
LINDBLOOM CHAD 14701 CHARLSON ROAD EDEN PRAIRIE, MN 55347			VP, Chief Financial Officer			
Signatures						

/s/ Troy Renner,	
Attorney-in-Fact	02/04/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents performance-based restricted stock units that vested on February 2, 2016 upon certification by the Issuer's compensation
(1) committee. Such vested units have been credited to the reporting person's account in the Issuer's NQDC Plan and will be settled in an equal number of shares at specified future dates.

Included in this amount are 81,298 shares of Issuer common stock issuable in settlement of an equal number of deferred shares and vested
(2) performance restricted stock units credited to the reporting person's NQDC Plan account, and 52,346 shares held directly by the reporting person.

- (3) Time-based stock option granted December 2, 2015 that vests as to 20% of the shares subject to the option on each December 31 of the years 2016-2020.
- (4) Time-based stock option granted December 24, 2015 that vests as to 20% of the shares subject to the option on each December 31 of the years 2016-2020.
- (5) Performance-based stock option granted 12/3/14 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (6) Performance-based stock option granted 12/4/13 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (7) Performance-based stock option granted 12/5/12 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (8) Performance-based stock option granted 12/7/11 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.