### Edgar Filing: CHART INDUSTRIES INC - Form 3

#### **CHART INDUSTRIES INC**

Form 3 July 21, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Johnson William

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/13/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CHART INDUSTRIES INC [GTLS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

President & COO

5. If Amendment, Date Original

Filed(Month/Day/Year)

ONE INFINITY CORPORATE **CENTRE DRIVE** 

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

(Instr. 5)

Form filed by More than One

Reporting Person

**GARFIELD** HEIGHTS. OHÂ 44125

(City)

1. Title of Security

(Instr. 4)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

(I)

4. Nature of Indirect Beneficial Ownership

Direct (D) or Indirect

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Date

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

**Expiration Title** Date

Amount or Number of Shares

Derivative Security

Direct (D) or Indirect (I)

Security:

1

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Johnson William ONE INFINITY CORPORATE CENTRE DRIVE GARRIEL D HEIGHTS Â OHÂ 44125	Â	Â	President & COO	Â

## **Signatures**

/s/ William C. Johnson, by Matthew J. Klaben, his attorney-in-fact pursuant to Power of Attorney dated July 13, 2016 on file with the Commission

07/21/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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