

Holding Olivia Britton
Form 4
March 21, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Holding Olivia Britton

2. Issuer Name and Ticker or Trading Symbol
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
PO BOX 1352
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/05/2017

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

SMITHFIELD, NC 27577

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (D) Price | | | |
| Class A Common | 12/05/2017 | | G | V 90 D \$ 0 | 343,808 | D | |
| Class A Common | 02/21/2018 | | G | V 5,100 A \$ 0 | 348,908 | D | |
| Class A Common | | | | | 10,544 | I | As beneficiary of Trust |
| Class A Common | 01/17/2018 | | G | V 1,125 D \$ 0 | 682,038 | I | As Co-Trustee for Frank B. Holding |

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| | | | | | | | | | |
|-------------------|------------|---|---|----------------------------------|---|------|---------|------------------|---|
| Class A Common | 02/20/2018 | G | V | 25,500 | D | \$ 0 | 656,538 | I | Revocable Trust As Co-Trustee for Frank B. Holding Revocable Trust |
| Class A Common | | | | | | | 2,675 | I ⁽¹⁾ | By Holding Properties, LLC |
| Class A Common | | | | | | | 827 | I ⁽¹⁾ | By E&F Properties, Inc. |
| Class A Common | | | | | | | 12,530 | I ⁽¹⁾ | By Twin States Farming, Inc. |
| Class A Common | | | | | | | 204,563 | I ⁽¹⁾ | By Southern BancShares (N.C.), Inc. and subsidiary |
| Class A Common | | | | | | | 174,469 | I ⁽¹⁾ | By Yadkin Valley Company and subsidiary |
| Class A Common | 01/29/2018 | J | V | <u>100,000</u> ₍₂₎ | A | \$ 0 | 100,000 | I ⁽¹⁾ | By Fidelity BancShares (N.C.), Inc. |
| Class B Common | 02/05/2018 | G | V | 106 | A | \$ 0 | 3,339 | D | |
| Class B Common | 03/19/2018 | G | V | 120 ⁽³⁾ | D | \$ 0 | 3,219 | D | |
| Class B Common | | | | | | | 1,225 | I | As beneficiary of Trust |
| Class B Common | 01/22/2018 | G | V | 80 | A | \$ 0 | 116,784 | I | By: Olivia B. Holding Revocable Trust |
| Class B Common | 02/05/2018 | G | V | 10 | A | \$ 0 | 116,794 | I | By: Olivia B. Holding Revocable |

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| | | | | | | | | | | |
|-------------------|------------|--|---|---|--------------------|---|------|---------|------------------|--|
| Class B Common | 03/19/2018 | | G | V | 120 ⁽³⁾ | A | \$ 0 | 116,914 | I | Trust By: Olivia B. Holding Revocable Trust |
| Class B Common | | | | | | | | 2,156 | I ⁽¹⁾ | By Holding Properties, LLC |
| Class B Common | | | | | | | | 200 | I ⁽¹⁾ | By E&F Properties, Inc. |
| Class B Common | | | | | | | | 1,355 | I ⁽¹⁾ | By Twin States Farming, Inc. |
| Class B Common | | | | | | | | 22,619 | I ⁽¹⁾ | By Southern BancShares (N.C.), INC. and subsidiary |
| Class B Common | | | | | | | | 1,900 | I ⁽¹⁾ | By Yadkin Valley Company and subsidiary |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr | | |
|---|--|---|---|--------------------------------------|--|--|---|---|---|-------|------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Holding Olivia Britton PO BOX 1352 SMITHFIELD, NC 27577 | | X | | |

Signatures

Olivia B. Holding, By: William R. Lathan, Jr.,
Attorney-in-fact

03/19/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is a director, officer, manager and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- (1) The reporting person is a director, officer, manager and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
 - (2) The Reporting Person has been elected as a director of the entity that owns these shares.
 - (3) A gift of 120 shares of Class B common stock previously reported as received by Reporting Person directly should have been reported as received by her revocable trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.