Mulroy Michael H. Form 4 July 03, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 January 31,

Expires: 2005
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0.5

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*
Mulroy Michael H.

2. Issuer Name and Ticker or Trading
Symbol
Symbol
BIOTIME INC [BTX]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) \_X\_ Director \_\_\_\_\_ 10% Owner

1010 ATLANTIC AVENUE, SUITE 07/01/2018 \_\_\_\_\_ Officer (give title below) Other (specify below)

102 below)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person
\_\_\_\_ Form filed by More than One Reporting

ALAMEDA, CA 94501

(Street)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A) Reported
Transaction(s)
or (Instr. 3 and 4)

Code V Amount (D) Price (Instr. 3 and 4)

Common

Shares, no 32,550 D

par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Mulroy Michael H. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	Pate	7. Title and A Underlying S (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Shares	\$ 2.06	07/01/2018		A	40,000	<u>(1)</u>	06/30/2023	Common Shares	40,000
Option to Purchase Common Shares	\$ 3.15					(2)	06/30/2022	Common Shares	20,000
Option to Purchase Common Shares	\$ 2.72					(3)	06/30/2021	Common Shares	20,000
Option to Purchase Common Shares	\$ 3.57					<u>(4)</u>	06/30/2020	Common Shares	20,000
Option to Purchase Common Shares	\$ 3.29					<u>(5)</u>	10/03/2019	Common Shares	20,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FB</b>	Director	10% Owner	Officer	Other		
Mulroy Michael H. 1010 ATLANTIC AVENUE SUITE 102 ALAMEDA, CA 94501	X					
Signatures						

/s/Michael H. Mulroy	07/03/2018	
**Signature of	Date	

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Will become exercisable on June 30, 2019, based upon continued service on the board of directors.
- (2) Became exercisable in four equal quarterly installments after the date of grant on July 1, 2017.
- (3) Became exercisable in four equal quarterly installments after the date of grant on July 1, 2016.
- (4) Became exercisable in four equal quarterly installments after the date of grant on July 1, 2015.
- (5) Became exercisable in four equal quarterly installments after the date of grant on October 4, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.