

STACK DAVID M
Form 4
January 11, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
STACK DAVID M

(Last) (First) (Middle)

C/O AMARIN PHARMA,
INC., 1430 ROUTE 206

(Street)

BEDMINSTER, NJ 07921

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

AMARIN CORP PLC\UK [AMRN]

3. Date of Earliest Transaction
(Month/Day/Year)

01/10/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Ordinary Shares ⁽¹⁾ <u>(2)</u>	01/10/2019		M		5,786	A	\$ 2.5	5,786	D	
Ordinary Shares ⁽¹⁾ <u>(2)</u>	01/10/2019		M		21,146	A	\$ 3.06	26,932	D	
Ordinary Shares ⁽¹⁾ <u>(2)</u>	01/10/2019		S		26,932	D	\$ 18	0	D	
Ordinary Shares ⁽¹⁾	01/10/2019		M		45,000	A	\$ 9.34	45,000	I	By David M. Stack

By David
M. Stack

Edgar Filing: STACK DAVID M - Form 4

<u>(2)</u>									Family Trust
Ordinary Shares <u>(1)</u> <u>(2)</u>	01/10/2019		M	13,500	A	\$ 5.58	58,500	I	By David M. Stack Family Trust
Ordinary Shares <u>(1)</u> <u>(2)</u>	01/10/2019		M	28,500	A	\$ 1.87	87,000	I	By David M. Stack Family Trust
Ordinary Shares <u>(1)</u> <u>(2)</u>	01/10/2019		M	11,572	A	\$ 2.5	98,572	I	By David M. Stack Family Trust
Ordinary Shares <u>(1)</u> <u>(2)</u>	01/10/2019		S	98,572	D	\$ 18	0	I	By David M. Stack Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy)	\$ 2.5	01/10/2019		M	5,786	<u>(3)</u>	07/06/2025	Ordinary Shares <u>(2)</u>
Stock Option (Right to Buy)	\$ 3.06	01/10/2019		M	21,146	<u>(3)</u>	05/15/2027	Ordinary Shares <u>(2)</u>
								Amount or Number of Shares

Stock Option (Right to Buy)	\$ 9.34	01/10/2019	M	45,000	<u>(3)</u>	12/10/2022	Ordinary Shares <u>(2)</u>	45,000
Stock Option (Right to Buy)	\$ 5.58	01/10/2019	M	13,500	<u>(3)</u>	07/09/2023	Ordinary Shares <u>(2)</u>	13,500
Stock Option (Right to Buy)	\$ 1.87	01/10/2019	M	28,500	<u>(3)</u>	03/11/2024	Ordinary Shares <u>(2)</u>	28,500
Stock Option (Right to Buy)	\$ 2.5	01/10/2019	M	11,572	<u>(3)</u>	07/06/2025	Ordinary Shares <u>(2)</u>	11,572

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STACK DAVID M C/O AMARIN PHARMA, INC. 1430 ROUTE 206 BEDMINSTER, NJ 07921	X			

Signatures

/s/ Joseph Kennedy, by power of attorney

01/11/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (3) The stock option granted to the Reporting Person is fully vested as of the date hereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.