Kalb Michael Wayne Form 4 March 01, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

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See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reportin<br>Kalb Michael Wayne | g Person * | 2. Issuer Name and Ticker or Trading Symbol AMARIN CORP PLC\UK [AMRN] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|------------|---|--|--|--|
| (Last) (First)  | (Middle)   | 3. Date of Earliest Transaction                                       | (Check air appricable)   |  |  |
| C/O AMARIN PHARMA,<br>INC., 1430 ROUTE 206            |            | (Month/Day/Year)<br>03/01/2019  | Director 10% Owner _X Officer (give title Other (specify below)  SVP and CFO                         |  |  |
| (Street)  |            | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check  |  |  |
| BEDMINSTER, NJ 07921                                  |            | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non-                           | Derivative S | Secu   | rities Acquii | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--------------|--------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) |              | d of ( | (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Ordinary Shares (1) (2)              | 03/01/2019                              |   | M                                      | 50,000       | A      | \$ 2.19       | 70,551   | D (3)  |   |
| Ordinary<br>Shares (1)<br>(2)        | 03/01/2019                              |   | M                                      | 19,000       | A      | \$ 2.95       | 89,551   | D (3)  |   |
| Ordinary<br>Shares (1)<br>(2)        | 03/01/2019                              |   | M                                      | 31,000       | A      | \$ 3.8        | 120,551  | D (3)  |   |
| Ordinary<br>Shares (1)               | 03/01/2019                              |   | S                                      | 7,124        | D      | \$<br>20.8865 | 113,427  | D (3)  |   |

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| (2)                           |            |   |        |   | <u>(4)</u>           |        |       |
|-------------------------------|------------|---|--------|---|----------------------|--------|-------|
| Ordinary<br>Shares (1)<br>(2) | 03/01/2019 | S | 70,801 | D | \$<br>22.0579<br>(5) | 42,626 | D (3) |
| Ordinary<br>Shares (1)<br>(2) | 03/01/2019 | S | 22,075 | D | \$<br>22.5858<br>(6) | 20,551 | D (3) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | orDerivative |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|--------------|--------|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A)          | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 2.19   | 03/01/2019                           |   | M                                      |              | 50,000 | <u>(7)</u>   | 07/01/2026         | Ordinary<br>Shares (2)  | 50,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 2.95   | 03/01/2019                           |   | M                                      |              | 19,000 | <u>(8)</u>   | 01/31/2027         | Ordinary<br>Shares (2)  | 19,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 3.8  | 03/01/2019                           |   | M                                      |              | 31,000 | <u>(9)</u>   | 02/01/2028         | Ordinary<br>Shares (2)  | 31,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |             |       |  |  |  |
|--------------------------------|---------------|-----------|-------------|-------|--|--|--|
| •                              | Director      | 10% Owner | Officer     | Other |  |  |  |
| Kalb Michael Wayne             |               |           | SVP and CFO |       |  |  |  |

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C/O AMARIN PHARMA, INC. 1430 ROUTE 206 BEDMINSTER, NJ 07921

## **Signatures**

/s/ Joseph Kennedy, by power of attorney

03/01/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (3) Please see the section titled "Remarks" below for additional information.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.43 to \$21.42, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.43 to \$22.42, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.43 to \$22.84, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- On July 1, 2016, the Reporting Person was granted an option to purchase 625,000 Ordinary Shares under the Amarin Corporation plc 2011 Stock Incentive Plan (the "Plan"). The shares subject to this option shall vest as follows: 25% of the total number of underlying shares shall vest on July 1, 2017, and the remaining 75% of the underlying shares shall vest ratably over the subsequent 36 months.
- On February 1, 2017, the Reporting Person was granted an option to purchase 133,000 Ordinary Shares under the Amarin Corporation plc 2011 Stock Incentive Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 28, 2017.
- On February 1, 2018, the Reporting Person was granted an option to purchase 117,000 Ordinary Shares under the Amarin Corporation plc 2011 Stock Incentive Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month starting on February 28, 2018.

#### **Remarks:**

In the case of a Change of Control (as defined in the Plan), the grants described in this Form 4 vest in full. As of the date of the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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