Edgar Filing: CALIFORNIA WATER SERVICE GROUP - Form 4

CALIFORNIA WATER SERVICE GROUP Form 4

September 06, 2016 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Webb Ronald D			-	2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [CWT]			5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)			
	(Last)	(First) (N	liddle)	3. Date of	Earliest Tra	ansaction	Director		Owner	
	1720 NODT	A NADTH EIDET STDEET			(Month/Day/Year) 09/03/2016			Officer (give titleOther (specifybelow)below)		
	1/20 NOR I	20 NORTH FIRST STREET)16		VP, Human Resources			
(Street)				4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)			Applicable Line)			
	CAN LOGE	CA 05112					_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
SAN JOSE, CA 95112							Person			
	(City)	(State) (Zip)	Table	e I - Non-D	erivative Securities Acq	uired, Disposed of	, or Beneficiall	ly Owned	
	1.Title of	2. Transaction Date	2A. Deem	ed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year)	Execution	Date, if	Transactio	n(A) or Disposed of (D)	Securities	Form: Direct	Indirect	
	(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial	
			(Month/Da	ay/Year)	(Instr. 8)		Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
						(A)	Reported			
						(A) or	Transaction(s)			

or

30(h) of the Investment Company Act of 1940

		Code V	Amount	(D)	Price	(Instr. 5 und 1)	
Common Stock	09/03/2016	F	25 <u>(1)</u>	D	\$ 31.04	7,372	D
Common Stock	09/05/2016	F	21 (2)	D	\$ 31.04	7,351	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr 3 and 4)

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Tit Amou Unde Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Webb Ronald D 1720 NORTH FIRST STREET SAN JOSE, CA 95112			VP, Human Resources					
Signatures								
/s/ Michelle Mortensen on behal Webb	ld D.	09/06/2016						
**Signature of Reporting Pe	rson		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #345.
- (2) Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #297.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.