## Edgar Filing: Ouyang Elissa Y - Form 4

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| Form 4   |                                    |  |                                   |   |                        |                    |          |   |  |   |  |
|--|------------------------------------|--|-----------------------------------|---|------------------------|--------------------|----------|---|--|---|--|
| June 04, 2018<br><b>FORM</b>   | <b>4</b> UNITED                    | x       Washington, D.C. 20549         x       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section         20(k) af the Investment Company Act of 1940 |                                   |   |                        |                    |          |   |  | OMB APPROVAL<br>OMB 3235-0287<br>Number: January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |
| Check this<br>if no longe<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may contin<br><i>See</i> Instruct<br>1(b). | r STATE<br>Filed pu<br>Section 17  |  |                                   |   |                        |                    |          |   |  |   |  |
| (Print or Type Re  | esponses)                          |  |                                   |   |                        |                    |          |   |  |   |  |
| Ouyang Elissa Y Symbol<br>CALI   |                                    |  | Symbol                            | 2. Issuer Name and Ticker or Trading<br>Symbol<br>CALIFORNIA WATER SERVICE<br>GROUP [CWT] |                        |                    |          | 5. Relationship of Reporting Person(s) to Issuer  |  |   |  |
|  |                                    |  |                                   |   |                        |                    |          | (Check all applicable)  |  |   |  |
| (Mon   |                                    |  | (Month/Da                         | 3. Date of Earliest Transaction<br>Month/Day/Year)<br>06/01/2018                          |                        |                    |          | Director       10% Owner         Officer (give title       Other (specify below)         Chief Procurement & Lead CI                    |  |   |  |
|  |                                    |  |                                   | f Amendment, Date Original<br>d(Month/Day/Year)   |                        |                    |          | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |  |   |  |
| SAN JOSE, C  | CA 95112                           |  |                                   |   |                        |                    |          |   | More than One Re   |   |  |
| (City)   | (State)                            | (Zip)  | Table                             | e I - Non-De  | erivative S            | Securi             | ties Aco | quired, Disposed o  | of, or Beneficial  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction D<br>(Month/Day/Yea | r) Executionary  | emed<br>on Date, if<br>/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V  | Disposed<br>(Instr. 3, | l (A) o<br>l of (D | )        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                      | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)   |  |
| Common<br>Stock  | 06/01/2018                         |  |                                   | F   | 56 <u>(1)</u>          | D                  |          | 3,194   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>ionNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | Date               | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|--|---------------------|--------------------|--|--|---|---|
|   |   |   |   | Code V                               | 7 (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |           |                             |       |  |  |  |  |
|--|---------------|-----------|-----------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                     | Other |  |  |  |  |
| Ouyang Elissa Y<br>1720 NORTH FIRST STREET<br>SAN JOSE, CA 95112 |               |           | Chief Procurement & Lead CI |       |  |  |  |  |
| Signatures   |               |           |                             |       |  |  |  |  |
| /s/ Michelle Mortensen on behalf of Elissa<br>Ouyang             |               | 1         | 06/04/2018                  |       |  |  |  |  |
| <u>**</u> Signature of Reporting Perso                           | on            |           | Date                        |       |  |  |  |  |
|  |               |           |                             |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #363.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.