**MOSAIC CO** Form 4 March 09, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Common

Stock

1. Name and Address of Reporting Person \* Davis Gary N.

(First)

(Middle)

ATRIA CORPORATE CENTER, **SUITE E490. 3033 CAMPUS DRIVE** 

(Street)

(State)

PLYMOUTH, MN 55441

2. Issuer Name and Ticker or Trading

Symbol

MOSAIC CO [MOS] 3. Date of Earliest Transaction

(Month/Day/Year)

03/05/2015

4. If Amendment, Date Original

3.

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

Sr VP - Phosphate Operations

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(A)

or

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

Securities Beneficially Owned Following Reported

5. Amount of

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(9-02)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

27,047 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. NumborDerivative Securitie Acquirect or Dispo (D) (Instr. 3, and 5) | ve<br>es<br>d (A)<br>sed of | 6. Date Exercise Expiration Date (Month/Day/Y |                    |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|--|-----------------------------|---|--------------------|-----------------|---|--|
|   |   |   |   | Code V                                 | (A)  | (D)                         | Date<br>Exercisable                           | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares                           |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 127.21   |   |   |  |  |                             | <u>(1)</u>                                    | 07/31/2018         | Common<br>Stock | 2,195   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 52.72  |   |   |  |  |                             | <u>(1)</u>                                    | 07/27/2019         | Common<br>Stock | 4,507   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 44.93  |   |   |  |  |                             | <u>(1)</u>                                    | 07/27/2020         | Common<br>Stock | 10,130  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 70.62  |   |   |  |  |                             | <u>(1)</u>                                    | 07/21/2021         | Common<br>Stock | 6,460   |  |
| Restricted<br>Stock<br>Units                        | \$ 0 (2)  |   |   |  |  |                             | 07/19/2015                                    | <u>(3)</u>         | Common<br>Stock | 4,050   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 57.62  |   |   |  |  |                             | <u>(4)</u>                                    | 07/19/2022         | Common<br>Stock | 10,198  |  |
| Restricted<br>Stock<br>Units                        | \$ 0 (2)  |   |   |  |  |                             | 07/18/2016                                    | (3)                | Common<br>Stock | 4,319   |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 54.03  |   |   |  |  |                             | <u>(5)</u>                                    | 07/18/2023         | Common<br>Stock | 11,048  |  |
| Restricted<br>Stock<br>Units                        | \$ 0 (2)  |   |   |  |  |                             | 03/07/2017                                    | <u>(3)</u>         | Common<br>Stock | 4,692   |  |
| Stock<br>Option                                     | \$ 49.73  |   |   |  |  |                             | (6)   | 03/07/2024         | Common<br>Stock | 12,418  |  |

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(Right to Buy)

Stock

Option (Right to Buy)

\$ 50.43 03/05/2015

14,923 Α

(7)

03/05/2025

Common

14,923

Stock

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                    |       |  |  |
|---|---------------|-----------|------------------------------------|-------|--|--|
| . 9   | Director      | 10% Owner | Officer                            | Other |  |  |
| Davis Gary N. ATRIA CORPORATE CENTER, SUITE E490 3033 CAMPUS DRIVE PLYMOUTH, MN 55441 |               |           | Sr VP -<br>Phosphate<br>Operations |       |  |  |

#### **Signatures**

/s/Mark J. Isaacson, Attorney-in-Fact for Gary N. **Davis** 

03/09/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and **(5)** on each anniversary thereafter.
- Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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