Chin Richard Form 4 January 24, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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Check this box

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Ctata)

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Chin Richard Issuer Symbol Kindred Biosciences, Inc. [KIN] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_ Director \_X\_\_ 10% Owner \_\_ Other (specify \_X\_\_ Officer (give title \_ 1555 BAYSHORE HIGHWAY, 01/22/2019 below) **SUITE 200** Chief Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **BURLINGAME, CA 94010** Person

| (City)                               | (State) (   | Table Table | e I - Non-D   | Perivative S  | Securi    | ties Acqu   | ired, Disposed of  | , or Beneficiall                                    | y Owned   |
|--------------------------------------|---|-------------|---|---------------|-----------|-------------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |             | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |               |           | of (D)      | 5. Amount of Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) | Ownership<br>Form: Direct<br>(D) or<br>Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |             | Code V  | Amount        | or<br>(D) | Price       | (Instr. 3 and 4)   |   |   |
| Common<br>Stock                      | 01/22/2019  |             | M   | 37,500        | A         | <u>(1)</u>  | 1,943,571  | D   |   |
| Common<br>Stock                      | 01/22/2019  |             | F   | 12,968<br>(2) | D         | \$<br>10.12 | 1,930,603  | D   |   |
| Common<br>Stock                      | 01/23/2019  |             | F   | 8,646<br>(2)  | D         | \$<br>9.945 | 1,921,957  | D   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Chin Richard - Form 4

#### number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8<br>I<br>S<br>( |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                  |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 01/22/2019                           |   | M                                      | 37,500  | (3)  | (3)                | Common<br>Stock   | 37,500                              |                  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |                            |                         |       |  |  |  |
|----------------------------------|---------------|----------------------------|-------------------------|-------|--|--|--|
|                                  | Director      | Director 10% Owner Officer |                         | Other |  |  |  |
| Chin Richard                     |               |                            |                         |       |  |  |  |
| 1555 BAYSHORE HIGHWAY, SUITE 200 | X             | X                          | Chief Executive Officer |       |  |  |  |
| BURLINGAME CA 94010              |               |                            |                         |       |  |  |  |

## **Signatures**

/s/ Marc L. Brown,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) These shares are being surrendered to satisfy a tax withholding obligation of the reporting person.
- (3) On January 22, 2018, the reporting person was granted 150,000 restricted stock units, vesting in four equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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