Edgar Filing: Balog Robert J - Form 4

| Form 4 | | | | | | | | | | | |
|--|---|--|----------------------------------|--|----------------------------------|--------|------------|--|--|---|--|
| October 18, 2 FORM | 4 UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: January 31, 2005 Estimated average burden hours per response 0.5 | |
| if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b). | er STATE 5. Filed pu s Section 17 | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Balog Robert J Symbolic KVH | | | Symbol | Name and | | | ıg | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) 50 ENTERP | . , | | | - | | | | Director 10% Owner X Officer (give title Other (specify below) below) SVP Engineering | | | |
| | | | | ndment, Date Original hth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| MIDDLETO | WN, RI 02842 | 2 | | | | | | | More than One Re | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Acc | uired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Yea | r) Execution any | emed on Date, if Day/Year) | 3. Transactio Code (Instr. 8) Code V | on(A) or Di (D) (Instr. 3, | spose | d of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 10/16/2017 | | | S <u>(1)</u> | 1,166 | D | \$ 12.5 | 80,833 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Balog Robert J - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 3 | Date | Secur | ınt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-------|--|---|--|
| | | | | Code N | 7 (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Person

| Reporting Owner Name / Addres | s | Rel | | | | |
|--|------------|-----------|-----------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Balog Robert J 50 ENTERPRISE CENTER MIDDLETOWN, RI 02842 | | | SVP Engineering | | | |
| Signatures | | | | | | |
| Robert Balog | 10/18/2017 | | | | | |
| **Signature of | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares sold under the terms of a 10b51 trading plan established with ETRADE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.