

OIL STATES INTERNATIONAL, INC

Form 3

July 08, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Moses Philip Scott

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/01/2015

3. Issuer Name and Ticker or Trading Symbol

OIL STATES INTERNATIONAL, INC [OIS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

THREE ALLEN

CENTER, 333 CLAY STREET

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Sr. VP, Offshore Products

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

HOUSTON, TX 77002

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

32,941 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock Option (right to purchase)	02/19/2014 ⁽²⁾	02/19/2016	Common Stock	8,579	\$ 21.96	D Â
Common Stock Option (right to purchase)	02/17/2015 ⁽³⁾	02/17/2021	Common Stock	5,147	\$ 43.95	D Â
Common Stock Option (right to purchase)	02/16/2016 ⁽⁴⁾	02/16/2022	Common Stock	5,147	\$ 49.33	D Â
Common Stock Option (right to purchase)	02/19/2017 ⁽⁵⁾	02/19/2023	Common Stock	5,147	\$ 46.78	D Â
Common Stock Option (right to purchase)	02/19/2018 ⁽⁶⁾	02/19/2024	Common Stock	4,461	\$ 58.54	D Â
Common Stock Option (right to purchase)	02/18/2019 ⁽⁷⁾	02/18/2025	Common Stock	11,135	\$ 42.29	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Moses Philip Scott THREE ALLEN CENTER 333 CLAY STREET HOUSTON, TX 77002	Â	Â	Â Sr. VP, Offshore Products	Â

Signatures

/s/ Lloyd A. Hajdik, pursuant to power of attorney 07/08/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 506 fully vested shares awarded on 2/16/2007, 2,667 fully vested shares awarded on 2/18/2008, 1,838 fully vested shares awarded on 2/19/2009, 909 fully vested shares awarded on 2/19/2010, 552 fully vested shares awarded on 2/17/2011, 2,099 shares awarded on 2/16/2012 (598 fully vested shares and 1,501 shares vesting on 2/16/2016), 13,414 shares awarded on 2/19/2013 (545 fully vested shares and 11,582 shares vesting on 2/19/2016 and 1,287 shares vesting on 2/19/2017), 3,861 shares awarded on 2/19/2014 (1,287 shares vesting on 2/19/2016, 1,287 shares vesting on 2/19/2017 and 1,287 shares vesting on 2/19/2018) and 7,095 shares awarded on 2/18/2015 that vest 25% per year beginning 2/18/2016.
- (2) Option award of 2/19/2010 fully vested on 2/19/2014.
- (3) Option award of 2/17/2011 fully vested on 2/17/2015.
- (4) Option award of 2/16/2012 with remaining 1,286 options vesting on 2/16/2016.
- (5) Option award of 2/19/2013 with remaining options vesting on 2/19/2016 (1,287 options) and 2/19/2017 (1,286 options).
- (6) Option award of 2/19/2014 with remaining options vesting on 2/19/2016 (1,115 options), 2/19/2017 (1,115 options) and 2/19/2018 (1,115 options).

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(7) Option award of 2/18/2015 which vests in four equal annual installments beginning 2/18/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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