Edgar Filing: NV5 Holdings, Inc. - Form 4

| NV5 Holding Form 4 | | | | | | | | | | | | | |
|--|---|---|------------------------|--|------|--|--------|------------|--|---|---------------------|--|--|
| August 27, 20 | 4 UNITE | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | PROVAL 3235-0287 | | |
| Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b). | er STAT 5. Filed s Section | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | |
| (Print or Type R | esponses) | | | | | | | | | | | | |
| Hockman Alexander A. Symbol | | | Symbol | uer Name and Ticker or Trading ol Holdings, Inc. [NVEE] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | | | | of Earliest Transaction Day/Year) 2015 | | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President & COO | | | | |
| | (Street) | | 4. If Ame Filed(Mor | | | e Original | | | 6. Individual or Jo Applicable Line) _X_ Form filed by 0 | | | | |
| HOLLYWO | OD, FL 3302 | 1 | | | | | | | Form filed by M Person | Iore than One Re | porting | | |
| (City) | (State) | (Zip) | Tabl | e I - Non | -De | rivative S | ecurit | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction 1 (Month/Day/Ye | ear) Executio any | | 3. Transac Code (Instr. 8 | tion | 4. Securiti (A) or Dis (Instr. 3, 4) Amount | posed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Common Stock | 08/26/2015 | | | S | | 30,000 (1) | D | \$ 18.3 | 263,199 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|------------|-----------------|-------|--|--|--|--|
| i o | Director | 10% Owner | Officer | Other | | | | |
| Hockman Alexander A. 200 SOUTH PARK RD. SUITE 350 HOLLYWOOD, FL 33021 | Х | | President & COO | | | | | |
| Signatures | | | | | | | | |
| /s/ MaryJo O'Brien, as attorney in fact | | 08/27/2015 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |
| | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares set forth in Column 4 of this Form 4 reflect shares sold by the reporting person to cover taxes associated with the vesting of restricted shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.