Strayer Jacqueline F Form 4 October 10, 2012

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

obligations

may continue.

See Instruction

1. Name and Address of Reporting Person
Strayer Jacqueline F

2. Issuer Name **and** Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

JOHNSON CONTROLS INC [JCI]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

(Month/Day/Yea 10/05/2012 \_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

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Number:

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response...

Estimated average

burden hours per

below) below)
Vice President

Person

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

MILWAUKEE, WI 53201

5757 N. GREEN BAY

AVENUE, P.O. BOX 591

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect

Security (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s)
Or (Instr. 3 and 4)

Common Stock 10/05/2012 A 3,400 A \$ 0 15,581.979 D

Common Stock 3,214.836 (1) I By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	•	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of sorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Nun Shar	
Employee Stock Option (Right to Buy)	\$ 27.85	10/05/2012		A	10,100	10/05/2014(2)	10/05/2022	Common Stock	10	
Phantom Stock Units - Restricted Stock	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	31	
Phantom Stock Units - Annual Incentive Plan	(3)					<u>(5)</u>	<u>(5)</u>	Common Stock	9,1	
Phantom Stock Units - Retirement Restoration	(3)					<u>(6)</u>	<u>(6)</u>	Common Stock	2,8	
Employee Stock Option (Right to Buy)	\$ 28.79					10/01/2010	10/01/2018	Common Stock	2:	
Employee Stock Option (Right to Buy)	\$ 24.87					10/01/2011	10/01/2019	Common Stock	2:	
Employee Stock Option (Right to Buy)	\$ 30.54					10/01/2012 <u>(2)</u>	10/01/2020	Common Stock	15	

Employee Stock

Option \$ 28.54

10/07/2013(2) 10/07/2021

Common Stock

(Right to Buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Strayer Jacqueline F

5757 N. GREEN BAY AVENUE Vice
P.O. BOX 591 President
MILWAUKEE, WI 53201

#### **Signatures**

Angela M. Blair, Attorney-in-Fact for Jacqueline F. Strayer

10/10/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of underlying securities is based on the stock fund balance on October 2, 2012. The actual number of shares issuable upon (1) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money
- market fund. The stock account balance reflected in this report is based on an October 2, 2012, stock fund price of \$27.55 per share.
- (2) Fifty percent of the options vest after two years and the remaining 50% vests after three years.
- (3) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- (4) The restricted stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes phantom stock and dividend equivalent units that settle 100% in cash and relate to restricted stock awards.
- (5) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan, and are to be settled 100% in cash following the reporting person's retirement or termination of employment with the issuer.
- The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan, and are to be settled 100% in cash following the reporting person's retirment or termination of employment with the issuer. This plan mirrors the company's 401(k) Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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