Wheeler Real Estate Investment Trust, Inc.

Form 4

December 15, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hanisch Robin A Issuer Symbol Wheeler Real Estate Investment (Check all applicable) Trust, Inc. [WHLR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 3713 SHENANDOAH CT. 12/12/2014 Secretary (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting VIRGINIA BEACH, VA 23452 Person

| (City)          | (State)             | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |                      |                      |              |              |  |  |  |  |  |  |
|-----------------|---------------------|--|------------|----------------------|----------------------|--------------|--------------|--|--|--|--|--|--|
| 1.Title of      | 2. Transaction Date | 2A. Deemed   | 3.         | 4. Securities Acqui  | red 5. Amount of     | 6. Ownership | 7. Nature of |  |  |  |  |  |  |
| Security        | (Month/Day/Year)    | Execution Date, if   | Transactio | on(A) or Disposed of | Securities           | Form: Direct | Indirect     |  |  |  |  |  |  |
| (Instr. 3)      |                     | any  | Code       | (D)                  | Beneficially         | (D) or       | Beneficial   |  |  |  |  |  |  |
|                 |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)  | Owned                | Indirect (I) | Ownership    |  |  |  |  |  |  |
|                 |                     |  |            |                      | Following            | (Instr. 4)   | (Instr. 4)   |  |  |  |  |  |  |
|                 |                     |  |            | (A)                  | Reported             |              |              |  |  |  |  |  |  |
|                 |                     |  |            | (A)                  | Transaction(s)       |              |              |  |  |  |  |  |  |
|                 |                     |  | Code V     | or<br>Amount (D) Pr  | ice (Instr. 3 and 4) |              |              |  |  |  |  |  |  |
| Common<br>Stock | 12/12/2014          |  | A          | 3,480 A \$ 4.3       | 6,289                | D            |              |  |  |  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Wheeler Real Estate Investment Trust, Inc. - Form 4

8. Price of Derivative Security (Instr. 5)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8 II S ( |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|--|----------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |          |
| Common<br>Units                                     | (1)   |                                      |   |  |   | (2)                 | (3)                | Common<br>Stock   | 1,135                                  |          |
| Common<br>Units                                     | <u>(1)</u>  |                                      |   |  |   | (2)                 | (3)                | Common<br>Stock   | 2,050                                  |          |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Hanisch Robin A 3713 SHENANDOAH CT. VIRGINIA BEACH, VA 23452

Secretary

## **Signatures**

/s/ Robin A.

Hanisch 12/15/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the Partnership Agreement of Wheeler Real Estate Investment Trust, L.P. (the "Partnership"), holders of the Partnership may, after a one year holding period, elect to exchange their common units for common tock of Wheeler Real Estate investment Trust, Inc. (the "Company") on a one-for-one basis. Upon a redemption request, the Company has the option to purchase the common units directly, either in cash or common stock of the Company.
- (2) These common units have been held for one year and therefore may be redeemed in accordance with the Partnership Agreement.
- (3) These derivative securities do not have an expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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