Mayo Kathleen Form 4 September 19, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

CHARTER COMMUNICATIONS.

5. Relationship of Reporting Person(s) to

Mayo Kathleen

Symbol

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

INC. /MO/ [CHTR]

Director 10% Owner Other (specify X\_ Officer (give title

**EVP/Customer Operations** 

(Month/Day/Year) 09/17/2012

below)

COMMUNICATIONS, INC., 12405

(Street)

POWERSCOURT DRIVE

C/O CHARTER

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

ST. LOUIS, MO 63131

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

(Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

(Instr. 8)

Following Reported Transaction(s) (Instr. 3 and 4)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: Mayo Kathleen - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Restricted Stock Units	\$ 0	09/17/2012		A	20,000	09/17/2013(1)	(2)	Class A Common Stock	20
Time Vesting Stock Options	\$ 81.607	09/17/2012		A	33,334	09/17/2013(3)	09/17/2022	Class A Common Stock	33
Performance Vesting Stock Options	\$ 81.607	09/17/2012		A	16,667	09/17/2013(4)	09/17/2022	Class A Common Stock	16
Performance Vesting Stock Options	\$ 81.607	09/17/2012		A	16,667	09/17/2014(5)	09/17/2022	Class A Common Stock	16
Performance Vesting Stock Options	\$ 81.607	09/17/2012		A	16,666	09/17/2015(6)	09/17/2022	Class A Common Stock	16
Performance Vesting Stock Options	\$ 81.607	09/17/2012		A	16,666	09/17/2016(7)	09/17/2022	Class A Common Stock	16

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Mayo Kathleen C/O CHARTER COMMUNICATIONS, INC. 12405 POWERSCOURT DRIVE ST. LOUIS, MO 63131

**EVP/Customer Operations** 

Reporting Owners 2

## **Signatures**

/s/ Kathleen 09/18/2012 Mayo

\*\*Signature of
Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units granted 09/17/2012 scheduled to vest in equal 25% increments on September 17, 2013, 2014, 2015 and 2016.
- (2) Not applicable.
- (3) Grant of Stock Options on 09/17/2012 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan, 25% of the aggregate grant vest at September 17, 2013, 2014, 2015 and 2016.
- One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to September 17, 2013); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after September 17, 2013.
- One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshhold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to September 17, 2014); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after September 17, 2014.
- One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$80 per share (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to September 17, 2015); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after September 17, 2015.
- One-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshhold of \$80 per share

  (average of Issuer's per share closing price on NASDAQ for any 60 consecutive trading days for any period commencing not earlier than 60 trading days prior to September 17, 2016); and, one-half will vest upon the first occurrence of Issuer's Class A Common Stock achieving a stock price threshold of \$100 on or after September 17, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3