

STRAKA DONALD J

Form 4

December 04, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STRAKA DONALD J

(Last) (First) (Middle)

C/O GREAT WESTERN  
BANCORP, INC., 225 SOUTH  
MAIN AVENUE

(Street)

SIOUX FALLS, SD 57104

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Great Western Bancorp, Inc. [GWB]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/30/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

General Counsel and Secretary

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock, par value \$0.01 per share				(A) or (D)	Price		
Common Stock, par value \$0.01 per share	12/02/2018 <sup>(1)</sup>		M	105	A \$ 0	2,179	D
Common Stock, par value \$0.01	12/01/2018 <sup>(2)</sup>		M	101	A \$ 0	2,280	D

per share

Common  
Stock, par  
value \$0.01  
per share12/01/2018<sup>(3)</sup>

M

359

A

\$ 0

2,639

D

Common  
Stock, par  
value \$0.01  
per share12/03/2018<sup>(4)</sup>

F

139

D

\$  
37.08

2,500

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri Deriv Secur (Instr	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units - 2015	(5)					(6)	(6)	Common Stock, par value \$0.01 per share	109
Restricted Stock Units - 2016	(5)	12/02/2018		M	105	(1)	(1)	Common Stock, par value \$0.01 per share	105
Restricted Stock Units - 2017	(5)	12/01/2018		M	101	(2)	(2)	Common Stock, par value \$0.01 per share	101

Restricted Stock Units (2017 STI)	<u>(5)</u>	12/01/2018	M	359	<u>(3)</u>	<u>(3)</u>	Common Stock, par value \$0.01 per share	359	\$
Restricted Stock Units - 2018	<u>(5)</u>	11/30/2018	A	335	<u>(7)</u>	<u>(7)</u>	Common Stock, par value \$0.01 per share	335	\$
Restricted Stock Units (2018 STI)	<u>(5)</u>	11/30/2018	A	658	<u>(8)</u>	<u>(8)</u>	Common Stock, par value \$0.01 per share	658	\$

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STRAKA DONALD J C/O GREAT WESTERN BANCORP, INC. 225 SOUTH MAIN AVENUE SIOUX FALLS, SD 57104			General Counsel and Secretary	

## Signatures

Donald J. Straka 12/04/2018

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
  - (2) The restricted stock units vest in three equal installments beginning on December 1, 2018. The restricted stock units have no expiration date.
  - (3) The restricted stock units vest on December 1, 2018. The restricted stock units have no expiration date.
  - (4) The units representing these shares were surrendered to satisfy tax withholding obligations on the vesting of restricted stock unit awards.
  - (5) Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.
  - (6) The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.
  - (7) The restricted stock units vest in three annual installments beginning on November 30, 2019. The restricted stock units have no expiration date.
  - (8) The restricted stock units vest on November 30, 2019. The restricted stock units have no expiration date.

## Edgar Filing: STRAKA DONALD J - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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