Edgar Filing: B. Riley Financial, Inc. - Form 4

B. Riley Fin Form 4	ancial, Inc.									
Form 4 January 15, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Secti						NERSHIP OF	OMB Number: Expires: Estimated a burden hour response	•		
See Instr 1(b).		30(h) o	of the In	vestment	Compar	ny Ac	ct of 194	0		
(Print or Type	Responses)									
B. Riley Financial, Inc. Symbol			er Name and Ticker or Trading Interior Concepts, Inc. [SIC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 21255 BUR 400	(First) (1 RBANK BLVD., S		3. Date of (Month/D 01/11/20	-	ransaction			Director	itle Othe below)	Owner
WOODLA	(Street) ND HILLS,, CA 9			ndment, Da th/Day/Year	-	ıl		6. Individual or Joi Applicable Line) Form filed by Oi _X_ Form filed by M Person	ne Reporting Per	son
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secur	rities Acqu	uired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8)	4. Securi or(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	01/11/2019			Code V P	Amount 200	(D) A	Price \$ 7.5	2,758,640	I	See notes (1) (2) (3) (4)
Class A Common Stock	01/14/2019			Р	1,900	A	\$ 7.3368 (5)	2,760,540	I	See notes (1) (2) (3) (4)
Class A Common Stock	01/15/2019			Р	99	А	\$ 7.9	2,760,639	Ι	$\frac{\text{See notes}}{(1)} \underbrace{(2)}_{(3)} \underbrace{(3)}_{(4)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Other

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer		
B. Riley Financial, Inc. 21255 BURBANK BLVD. SUITE 400 WOODLAND HILLS,, CA 91367		Х			
BRC Partners Opportunity Fund, LP 11100 SANTA MONICA BLVD. SUITE 800 LOS ANGELES,, CA 90025		Х			
BRC Partners Management GP, LLC 11100 SANTA MONICA BLVD. SUITE 800 LOS ANGELES,, CA 90025		Х			
B. RILEY CAPITAL MANAGEMENT, LLC 11100 SANTA MONICA BLVD. SUITE 800 LOS ANGELES,, CA 90025		Х			
B. Riley FBR, Inc. 11100 SANTA MONICA BLVD. SUITE 800 LOS ANGELES,, CA 90025		Х			

Signatures

B. Riley Financial, Inc., by: /s/ Bryant R. Riley, Co-Chief Executive Officer					
**Signature of Reporting Person	Date				
BRC Partners Opportunity Fund, L.P., by: /s/ Bryant R. Riley, Chief Investment Officer					
**Signature of Reporting Person	Date				
BRC Partners Management GP, LLC., by: /s/ Bryant R. Riley, Chief Executive Officer of B. Riley Capital Management, LLC, its sole member					
**Signature of Reporting Person	Date				
B. Riley Capital Management, LLC, by: /s/ Bryant R. Riley, Chief Executive Officer	01/15/2019				
**Signature of Reporting Person	Date				
B. Riley FBR, Inc., by: /s/ Andy Moore, Chief Executive Officer	01/15/2019				
<u>**</u> Signature of Reporting Person	Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In addition to B. Riley Financial, Inc., a Delaware corporation ("BRF"), this Form 4 is being filed jointly by BRC Partners Opportunity Fund, L.P., a Delaware limited partnership ("BRPLP"), B. Riley Capital Management, LLC, a New York limited liability company

(1) ("BRCM"), BRC Partners Management GP, LLC, a Delaware limited liability company ("BRPGP"), and B. Riley FBR, Inc., a Delaware corporation ("BRFBR") (collectively, the "Filing Persons"). Each of the Filing Persons disclaims beneficial ownership of the outstanding shares of Class A Common Stock, par value \$0.01 per share ("Common Stock"), of Select Interior Concepts, Inc., a Delaware corporation (the "Issuer"), reported herein except to the extent of its pecuniary interest therein.

BRPGP is the general partner of BRPLP, BRCM is an investment advisor to BRPLP and BRF is the parent company of BRCM. As a(2) result, BRPGP, BRCM and BRF may be deemed to indirectly beneficially own the Shares held by BRPLP. BRF is the parent company of BRFBR. As a result, BRF may be deemed to indirectly beneficially own the Shares held by BRFBR.

- (3) Represents shares of Common Stock owned directly by BRFBR.
- (4) Certain of these transactions may be matchable transactions under Section 16(b) of the Exchange Act in connection with market making activities. The reporting person will disgorge the full amount of any recoverable profits to the Issuer.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from
 (5) \$7.30 to \$7.50, inclusive. The Filing Persons reporting person undertakes to provide to the Commission, upon request, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.