

Malloy Brian J
Form 3
October 17, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Malloy Brian J
(Last) (First) (Middle)

CARPENTER TECHNOLOGY CORPORATION,Â 1735
MARKET STREET, 15TH FL
(Street)

PHILADELPHIA,Â PAÂ 19103
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/09/2018

3. Issuer Name and Ticker or Trading Symbol
CARPENTER TECHNOLOGY CORP [CRS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
VP - Chief Commercial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

21,785

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Employee Stock Options | 08/03/2016 | 08/03/2025 | Common Stock | 2,210 | \$ 36.82 | D | Â |
| Employee Stock Options | 08/03/2017 | 08/03/2025 | Common Stock | 2,210 | \$ 36.82 | D | Â |
| Employee Stock Options | 08/03/2018 | 08/03/2025 | Common Stock | 2,210 | \$ 36.82 | D | Â |
| Employee Stock Options | 08/01/2017 | 08/01/2026 | Common Stock | 1,925 | \$ 39.02 | D | Â |
| Employee Stock Options | 08/01/2018 | 08/01/2026 | Common Stock | 1,925 | \$ 39.02 | D | Â |
| Employee Stock Options | 08/01/2019 | 08/01/2026 | Common Stock | 1,924 | \$ 39.02 | D | Â |
| Employee Stock Options | 08/01/2019 | 08/01/2026 | Common Stock | 56,981 | \$ 39.02 | D | Â |
| Employee Stock Options | 07/31/2018 | 07/31/2027 | Common Stock | 1,835 | \$ 40.43 | D | Â |
| Employee Stock Options | 07/31/2019 | 07/31/2027 | Common Stock | 1,835 | \$ 40.43 | D | Â |
| Employee Stock Options | 07/31/2020 | 07/31/2027 | Common Stock | 1,835 | \$ 40.43 | D | Â |
| Employee Stock Options | 08/06/2019 | 08/06/2028 | Common Stock | 1,426 | \$ 58.94 | D | Â |
| Employee Stock Options | 08/06/2020 | 08/06/2028 | Common Stock | 1,425 | \$ 58.94 | D | Â |
| Employee Stock Options | 08/06/2021 | 08/06/2028 | Common Stock | 1,425 | \$ 58.94 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Malloy Brian J CARPENTER TECHNOLOGY CORPORATION 1735 MARKET STREET, 15TH FL PHILADELPHIA, PA 19103 | Â | Â | Â VP - Chief Commercial Officer | Â |

Signatures

James D.
Dee/POA

10/17/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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