

IEC ELECTRONICS CORP

Form 4

April 04, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Hauvn Jens

(Last) (First) (Middle)

C/O IEC ELECTRONICS
CORP., 105 NORTON STREET

(Street)

NEWARK, NY 14513

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

IEC ELECTRONICS CORP [IEC]

3. Date of Earliest Transaction
(Month/Day/Year)

03/31/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)

SVP, Operations

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	10,853	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Restricted Stock Units	(1)					04/18/2019 04/18/2019	Common Stock	5,334
Employee Stock Option (Right to Buy)	\$ 4.25 (2)					(2) 09/07/2022	Common Stock	50,000 (2)
Employee Stock Option (Right to Buy)	\$ 5.25 (3)	03/31/2017		A	0	(3) 08/09/2023	Common Stock	0 (3)
Restricted Stock Units	(4)	03/31/2017		A	7,259	03/16/2020 03/16/2020	Common Stock	7,259

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Hauvn Jens C/O IEC ELECTRONICS CORP. 105 NORTON STREET NEWARK, NY 14513	SVP, Operations

Signatures

/s/ Jens Hauvn 04/04/2017
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units each represent a contingent right to receive one share of common stock.

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- (2) This option was previously reported by Mr. Hauvn and vests 10% on 9/8/16, 20% on 9/8/17, 30% on 9/8/18 and 40% on 9/8/19, subject to Mr. Hauvn's continuous employment with the Company.

This option was granted under the Company's 2010 Omnibus Incentive Compensation Plan in a transaction exempt under Rule 16b-3. Mr.

- (3) Hauvn can exercise this option as follows: 10% on 8/9/17, 20% on 8/9/18, 30% on 8/9/19 and 40% on 8/9/20, subject to Mr. Hauvn's continuous employment with the Company.
- (4) These restricted stock units, which each represent a contingent right to receive one share of common stock, were granted in a transaction exempt under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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