#### Edgar Filing: CPS TECHNOLOGIES CORP/DE/ - Form 4

**CPS TECHNOLOGIES CORP/DE/** Form 4 September 09, 2015 FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

if no longer

subject to

Section 16.

Form 4 or

Form 5

1(b).

1. Name and Adams Ri	ing Person <u>*</u>	Symbol		<b>d</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer					
			CPS TE [CPSH]		LOGIES CORP/DE/	(Chee	ck all applicable	e)		
(Last)	(First)	(Middle)			Fransaction	Director X Officer (giv		6 Owner er (specify		
111 S. WORCESTER ST.			(Month/L 09/08/2	Day/Year) 015		below) below) Senior Vice-President & CTO				
			0,,,,,,,,	010		Senior v	ice-President &	010		
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Yea	ar)	Applicable Line)				
						_X_Form filed by	1 0			
NORTON	I, MA 02766					Form filed by I Person	More than One Re	eporting		
(City)	(State)	(Zip)	Tabl	le I - Non-	Derivative Securities Acc	uired, Disposed o	of, or Beneficia	lly Owned		
1.Title of	2. Transaction	Date 2A. De	emed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Y	ear) Executi	ion Date, if	Transact	tion(A) or Disposed of (D)	Securities	Form: Direct	Indirect		
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial		

		(Month/Day/Year)	(Instr.	8)				Owned	Indirect (I)	Ownership
								Following	(Instr. 4)	(Instr. 4)
								Reported		
						(A)		Transaction(s)		
						or		(Instr. 3 and 4)		
			Code	V	Amount	(D)	Price	(		
Common	09/08/2015		D		10,000	р	\$	114 401	D	
Stock	09/06/2013		D		10,000	D	2.25	114,421	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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# **Reporting Owners**

Reporting Owner Name / Addr	ess	Relationships							
1 8	Director	10% Owner	Officer	Other					
Adams Richard W 111 S. WORCESTER ST. NORTON, MA 02766			Senior Vice-President & CTO						
Signatures									
Richard W. Adams	09/09/2015								
<u>**</u> Signature of Reporting Person	Date								

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.