Avampato John Form 4 May 15, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB 3235-0287

Number:

January 31,

Expires: Estimated average

5. Relationship of Reporting Person(s) to

2005

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| Avampato John   |  |  | Symbol                          |  |            |  |  | Issuer   |  |   |  |
|---|--|--|---------------------------------|--|------------|--|--|--|--|---|--|
|   | REGAL BELOIT CORP [RBC]  |  |                                 |  |            | (Check all applicable)   |  |  |  |   |  |
| (Last) (First) (Middle)   |  |  | 3. Date of Earliest Transaction |  |            |  |  | ` <b></b>  |  |   |  |
| 200 STATE STREET  |  |  | (Month/Day/Year)<br>05/12/2018  |  |            |  |  | Director 10% Owner X Officer (give title Other (specify below)   |  |   |  |
|   | 4. If Amendment, Date Original   |  |                                 |  |            | 6. Individual or Joint/Group Filing(Check  |  |  |  |   |  |
| BELOIT, V   | Filed(Month/Day/Year)  |  |                                 |  |            | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |   |  |
| (City)  | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                 |  |            |  |  |  |  |   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Da<br>(Month/Day/Year   |  | Date, if                        | 3.<br>Transactio<br>Code<br>(Instr. 8) | (Instr. 3, | (A) or (D)   | d of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Stock   | 05/12/2018   |  |                                 | F                                      | 455        | D  | 79.85  | 13,929   | D  |   |  |
| Common<br>Stock   |  |  |                                 |  |            |  |  | 1,433 (1)  | I  | Retirement<br>Savings<br>Plan                                     |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |  |  |                                 |  |            |  |  |  |  |   |  |
|   |  |  |                                 |  |            |  | Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. |  |  |   |  |

### Edgar Filing: Avampato John - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. DriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                  | on Date            |                 | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|--|------------------|--------------------|-----------------|--|--|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares                             |  |
| Stock<br>Appreciation<br>Rights                     | \$ 42.65  |                                      |   |  |  | 05/08/2011(2)    | 05/08/2019         | Common<br>Stock | 6,000  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 61.36  |                                      |   |  |  | 05/05/2012(2)    | 05/05/2020         | Common<br>Stock | 6,000  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 72.29  |                                      |   |  |  | 05/04/2013(2)    | 05/04/2021         | Common<br>Stock | 6,000  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 63.56  |                                      |   |  |  | 05/03/2014(2)    | 05/03/2022         | Common<br>Stock | 6,500  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 64.99  |                                      |   |  |  | 05/02/2015(3)    | 05/02/2023         | Common<br>Stock | 3,875  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 75.76  |                                      |   |  |  | 05/07/2016(3)    | 05/07/2024         | Common<br>Stock | 3,600  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 78.15  |                                      |   |  |  | 05/12/2017(3)    | 05/12/2025         | Common<br>Stock | 4,500  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 57.43  |                                      |   |  |  | 05/11/2018(3)    | 05/11/2026         | Common<br>Stock | 6,825  |  |
| Stock<br>Appreciation<br>Rights                     | \$ 80.7   |                                      |   |  |  | 05/10/2019(3)    | 05/10/2027         | Common<br>Stock | 4,450  |  |
| Stock<br>Appreciation                               | \$ 77.6   |                                      |   |  |  | 05/09/2020(4)    | 05/09/2028         | Common<br>Stock | 4,700  |  |

Rights

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Avampato John VP & Chief 200 STATE STREET Information BELOIT, WI 53511 Officer

**Signatures** 

/s/ Robert Rehard, as Power-of-Attorney 05/15/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to holdings in the Regal Beloit Corporation Retirement Savings Plan.
- Granted as stock-settled Stock Appreciation Rigths (SARs) under the 2007 Equity Incentive Plan. The SARs vest and become
- (2) exerciseable 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the date of the grant.
- (3) Granted as stock-settled SARs under the 2013 Equity Incentive Plan. The SARs vest and become exercisable 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the date of the grant.
- (4) Granted as stock-settled SARs under the 2018 Equity Incentive Plan. The SARs vest and become exercisable 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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