

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

ALPINE TOTAL DYNAMIC DIVIDEND FUND

Form NSAR-B

December 30, 2013

PAGE 1
000 B000000 10/31/2013
000 C000000 0001379400
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ALPINE TOTAL DYNAMIC DIVIDEND FUND
001 B000000 811-21980
001 C000000 9142519098
002 A000000 2500 WESTCHESTER AVENUE, SUITE 215
002 B000000 PURCHASE
002 C000000 NY
002 D010000 10577
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 ALPINE WOODS CAPITAL INVESTORS, LLC
008 B000001 A
008 C000001 801-55110
008 D010001 PURCHASE
008 D020001 NY
008 D030001 10577
010 A000001 STATE STREET BANK AND TRUST COMPANY
010 B000001 85-11329
010 C010001 BOSTON
010 C020001 MA
010 C030001 02110
011 A000001 CITIGROUP GLOBAL MARKETS, INC.
011 B000001 8-02800541
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10022
011 A000002 WACHOVIA CAPITAL MARKETS, LLC
011 B000002 8-00865876
011 C010002 CHARLOTTE
011 C020002 NC
011 C030002 28288
012 A000001 BOSTON FINANCIAL DATA SERVICES, INC.
012 B000001 84-00896
012 C010001 BOSTON
012 C020001 MA
012 C030001 02266
013 A000001 DELOITTE & TOUCHE, LLP
013 B010001 MILWAUKEE
PAGE 2
013 B020001 WI
013 B030001 53202
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

015 C030001 02110
015 E010001 X
015 A000002 UNICREDIT BANK AUSTRIA AG
015 B000002 S
015 C010002 VIENNA
015 D010002 AUSTRIA
015 E040002 X
015 A000003 HSBC AUSTRALIA
015 B000003 S
015 C010003 SYDNEY
015 D010003 AUSTRALIA
015 E040003 X
015 A000004 CITIBANK N.A. - BRAZIL
015 B000004 S
015 C010004 SAO PAULO
015 D010004 BRAZIL
015 E040004 X
015 A000005 STATE STREET TRUST COMPANY CANADA
015 B000005 S
015 C010005 TORONTO
015 D010005 CANADA
015 E040005 X
015 A000006 CLEARSTREAM BANKING S.A.
015 B000006 S
015 C010006 LUXEMBOURG
015 D010006 LUXEMBOURG
015 E040006 X
015 A000007 CREDIT SUISSE
015 B000007 S
015 C010007 ZURICH
015 D010007 SWITZERLAND
015 E040007 X
015 A000008 CITIBANK N.A.
015 B000008 S
015 C010008 SINGAPORE
015 D010008 SINGAPORE
015 E040008 X
015 A000009 SKANDINAVISKA ENSKILDA BANKEN
015 B000009 S
015 C010009 STOCKHOLM
015 D010009 SWEDEN
015 E040009 X
015 A000010 BANK HANDLOWY W WARSZAWIE S.A.
015 B000010 S
015 C010010 WARSAW
PAGE 3
015 D010010 POLAND
015 E040010 X
015 A000011 DEUTSCHE BANK AG
015 B000011 S
015 C010011 MAKATI CITY
015 D010011 PHILIPPINES
015 E040011 X
015 A000012 HSBC NEW ZEALAND
015 B000012 S
015 C010012 AUCKLAND
015 D010012 NEW ZEALAND
015 E040012 X
015 A000013 SKANDINAVISKA ENSKILDA BANKEN
015 B000013 S
015 C010013 OSLO
015 D010013 NORWAY

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

015 E040013 X
015 A000014 DEUTSCHE BANK AG AMSTERDAM
015 B000014 S
015 C010014 AMSTERDAM
015 D010014 NETHERLANDS
015 E040014 X
015 A000015 STANDARD CHARTERED BANK
015 B000015 S
015 C010015 KUALA LUMPUR
015 D010015 MALAYSIA
015 E040015 X
015 A000016 BANCO NACIONAL DE MEXICO S.A
015 B000016 S
015 C010016 MEXICO CITY
015 D010016 MEXICO
015 E040016 X
015 A000017 HSBC
015 B000017 S
015 C010017 SEOUL
015 D010017 REPUBLIC OF KOREA
015 E040017 X
015 A000018 THE HONG KONG & SHANGHAI BANKING CORP. LTD.
015 B000018 S
015 C010018 TOKYO
015 D010018 JAPAN
015 E040018 X
015 A000019 DEUTSCHE BANK AG
015 B000019 S
015 C010019 MILAN
015 D010019 ITALY
015 E040019 X
015 A000020 DEUTSCHE BANK AG
015 B000020 S
015 C010020 MUMBAI
015 D010020 INDIA
PAGE 4
015 E040020 X
015 A000021 BANK HAPOALIM BM
015 B000021 S
015 C010021 TEL AVIV
015 D010021 ISRAEL
015 E040021 X
015 A000022 DEUTSCHE BANK A.G.
015 B000022 S
015 C010022 JAKARTA
015 D010022 INDONESIA
015 E040022 X
015 A000023 STANDARD CHARTERED BANK
015 B000023 S
015 C010023 KWUN TONG
015 D010023 HONG KONG
015 E040023 X
015 A000024 STATE STREET BANK AND TRUST COMPANY
015 B000024 S
015 C010024 EDINBURGH
015 D010024 SCOTLAND
015 E040024 X
015 A000025 SKANDINAVISKA ENSKILDA BANKEN
015 B000025 S
015 C010025 HELSINKI
015 D010025 FINLAND
015 E040025 X

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

015 A000026 DEUTSCHE BANK S.A.E
015 B000026 S
015 C010026 BARCELONA
015 D010026 SPAIN
015 E040026 X
015 A000027 SKANDINAVISKA ENSKILDA BANKEN
015 B000027 S
015 C010027 COPENHAGEN
015 D010027 DENMARK
015 E040027 X
015 A000028 DEUTSCHE BANK AG
015 B000028 S
015 C010028 Eschborn
015 D010028 GERMANY
015 E040028 X
015 A000029 STANDARD CHARTERED BANK
015 B000029 S
015 C010029 BANGKOK
015 D010029 THAILAND
015 E040029 X
015 A000030 CITIBANK N.A. - TURKEY
015 B000030 S
015 C010030 ISTANBUL
015 D010030 TURKEY
015 E040030 X
PAGE 5
015 A000031 STANDARD CHARTERED BANK
015 B000031 S
015 C010031 TAIPEI
015 D010031 TAIWAN
015 E040031 X
015 A000032 FIRSTRAND BANK LTD
015 B000032 S
015 C010032 JOHANNESBURG
015 D010032 SOUTH AFRICA
015 E040032 X
015 A000033 HSBC BANK MIDDLE EAST
015 B000033 S
015 C010033 DUBAI
015 D010033 UNITED ARAB EMIRATES
015 E040033 X
015 A000034 BANCO ITAU CHILE
015 B000034 S
015 C010034 SANTIAGO
015 D010034 CHILE
015 E040034 X
015 A000035 BNP PARIBAS SECURITIES SERVICES, SA
015 B000035 S
015 C010035 ATHENS
015 D010035 GREECE
015 E040035 X
015 A000036 MIZUHO COPRORATE BANK, LTD
015 B000036 S
015 C010036 TOKYO
015 D010036 JAPAN
015 E040036 X
015 A000037 ING BANK (EURAISA) ZAO
015 B000037 S
015 C010037 MOSCOW
015 D010037 RUSSIA
015 E040037 X
015 A000038 BANQUE INTERNATIONALE ARABE DE TUNISE

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

015 B000038 S
015 C010038 TUNISIA
015 D010038 TUNISIA
015 E040038 X
015 A000039 STANDARD BANK OF SOUTH AFRICA LTD.
015 B000039 S
015 C010039 JOHANNESBURG
015 D010039 SOUTH AFRICA
015 E040039 X
015 A000040 UNITED OVERSEAS BANK LTD.
015 B000040 S
015 C010040 SINGAPORE
015 D010040 SINGAPORE
015 E040040 X
018 000000 Y
PAGE 6
019 A000000 Y
019 B000000 18
019 C000000 ALPINEFND
020 A000001 J.P. MORGAN SECURITIES, INC.
020 B000001 13-3224016
020 C000001 448
020 A000002 BANK OF AMERICA CORP.
020 B000002 56-0906609
020 C000002 437
020 A000003 CITIGROUP GLOBAL MARKETS INC.
020 B000003 13-2771536
020 C000003 407
020 A000004 CREDIT SUISSE SECURITIES (USA) LLC
020 B000004 13-1898818
020 C000004 370
020 A000005 BARCLAYS CAPITAL INC.
020 B000005 05-0346412
020 C000005 345
020 A000006 MORGAN STANLEY & CO. INC.
020 B000006 13-2655998
020 C000006 285
020 A000007 UBS SECURITIES LLC
020 B000007 13-3873456
020 C000007 278
020 A000008 RBC CAPITAL MARKET
020 B000008 41-1416330
020 C000008 133
020 A000009 DEUTSCHE BANK SECURITIES, INC.
020 B000009 13-2730828
020 C000009 88
020 A000010 GOLDMAN SACHS & CO.
020 B000010 13-5108880
020 C000010 84
021 000000 3788
022 A000001 STATE STREET BANK & TRUST CO.
022 B000001 04-1867445
022 C000001 2830954
022 D000001 0
022 A000002 JPMORGAN SECURITIES, INC.
022 B000002 13-3224016
022 C000002 20485
022 D000002 0
022 A000003 BARCLAYS CAPITAL INC.
022 B000003 05-0346412
022 C000003 3183
022 D000003 16857

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

022 A000004 DEUTSCHE BANK SECURITIES, INC.
 022 B000004 13-2730828
 022 C000004 19149
 022 D000004 0
 022 A000005 CREDIT SUISSE SECURITIES (USA) LLC
 PAGE 7
 022 B000005 13-1898818
 022 C000005 15962
 022 D000005 0
 022 A000006 BANK OF AMERICA CORP.
 022 B000006 56-0906609
 022 C000006 12085
 022 D000006 0
 022 A000007 CITIGROUP GLOBAL MARKETS INC.
 022 B000007 13-2771536
 022 C000007 8565
 022 D000007 0
 022 A000008 GOLDMAN SACHS & CO.
 022 B000008 13-5108880
 022 C000008 7881
 022 D000008 0
 022 A000009 BRADESCO SECURITIES
 022 B000009 13-4123780
 022 C000009 1180
 022 D000009 5039
 022 A000010 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
 022 B000010 52-1630477
 022 C000010 4495
 022 D000010 0
 023 C000000 2874951
 023 D000000 21896
 024 000000 Y
 025 A000001 CITIGROUP GLOBAL MARKETS INC.
 025 B000001 13-2771536
 025 C000001 E
 025 D000001 11610
 025 A000002 BANK OF AMERICA CORP.
 025 B000002 56-0906609
 025 C000002 E
 025 D000002 5626
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 PAGE 8
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

| | | | |
|-----|---------|-------|---|
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | 0 | |
| 042 | B000000 | 0 | |
| 042 | C000000 | 0 | |
| 042 | D000000 | 0 | |
| 042 | E000000 | 0 | |
| 042 | F000000 | 0 | |
| 042 | G000000 | 0 | |
| 042 | H000000 | 0 | |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |
| 047 | 000000 | Y | |
| 048 | 000000 | 1.000 | |
| 048 | A010000 | | 0 |
| 048 | A020000 | 0.000 | |
| 048 | B010000 | | 0 |
| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| | PAGE | 9 | |
| 048 | C020000 | 0.000 | |
| 048 | D010000 | | 0 |
| 048 | D020000 | 0.000 | |
| 048 | E010000 | | 0 |
| 048 | E020000 | 0.000 | |
| 048 | F010000 | | 0 |
| 048 | F020000 | 0.000 | |
| 048 | G010000 | | 0 |
| 048 | G020000 | 0.000 | |
| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
| 048 | I010000 | | 0 |
| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 N
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 Y
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 PAGE 10
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 N
 066 D000000 N
 066 E000000 N
 066 F000000 Y
 066 G000000 N
 067 000000 N
 068 A000000 N

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

| | | | |
|-----|---------|----|---------|
| 068 | B000000 | Y | |
| 069 | 0000000 | N | |
| 070 | A010000 | Y | |
| 070 | A020000 | N | |
| 070 | B010000 | Y | |
| 070 | B020000 | N | |
| 070 | C010000 | Y | |
| 070 | C020000 | N | |
| 070 | D010000 | Y | |
| 070 | D020000 | N | |
| 070 | E010000 | Y | |
| 070 | E020000 | N | |
| 070 | F010000 | Y | |
| 070 | F020000 | N | |
| 070 | G010000 | Y | |
| 070 | G020000 | N | |
| 070 | H010000 | Y | |
| 070 | H020000 | N | |
| 070 | I010000 | Y | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | N | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| | PAGE | 11 | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | Y | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 1947806 |
| 071 | B000000 | | 2012911 |
| 071 | C000000 | | 1011182 |
| 071 | D000000 | | 192 |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 1 |
| 072 | C000000 | | 86721 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 10624 |
| 072 | G000000 | | 208 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 0 |
| 072 | J000000 | | 86 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 299 |
| 072 | M000000 | | 93 |
| 072 | N000000 | | 196 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 138 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 178 |
| 072 | S000000 | | 59 |

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

| | |
|-------------|---------|
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 361 |
| 072 X000000 | 12242 |
| 072 Y000000 | 0 |
| 072 Z000000 | 74480 |
| 072AA000000 | 42955 |
| 072BB000000 | 0 |
| 072CC010000 | 34710 |
| 072CC020000 | 0 |
| 072DD010000 | 89680 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.4080 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| PAGE 12 | |
| 073 C000000 | 0.0000 |
| 074 A000000 | 1922 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 1033497 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 25299 |
| 074 J000000 | 15448 |
| 074 K000000 | 0 |
| 074 L000000 | 10091 |
| 074 M000000 | 28 |
| 074 N000000 | 1086285 |
| 074 O000000 | 10868 |
| 074 P000000 | 924 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 7322 |
| 074 S000000 | 0 |
| 074 T000000 | 1067171 |
| 074 U010000 | 217486 |
| 074 U020000 | 0 |
| 074 V010000 | 4.91 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 142 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 1028328 |
| 076 000000 | 0.00 |
| 077 A000000 | Y |
| 077 B000000 | Y |
| 077 C000000 | N |
| 077 D000000 | N |
| 077 E000000 | N |
| 077 F000000 | N |
| 077 G000000 | N |
| 077 H000000 | N |
| 077 I000000 | N |
| 077 J000000 | N |

Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form NSAR-B

077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
 PAGE 13
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 Federal Insurance Company
080 C000000 6600
081 A000000 Y
081 B000000 17
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 3545
086 B020000 14427
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 ALPINE TOTAL DYNAMIC DIVIDEND FUND
087 A020000 021060108
087 A030000 AOD
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE RON PALMER
TITLE CFO