Edgar Filing: Global Blood Therapeutics, Inc. - Form 4

Global Blood Therapeutics, Inc. Form 4 November 07, 2016

November 07,	2016											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0	0287		
Check this t if no longer											y 31, 2005	
subject to Section 16. Form 4 or Form 5	SIAIEMI	SECURITIES								Estimated average burden hours per response		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type Res	sponses)											
HOMCY CHARLES J Symbol			mbol	Name and '			-	5. Relationship of Reporting Person(s) to Issuer				
		Global Blood Therapeutics, Inc. [GBT]					(Check all applicable)					
(Last)	(First) (M	(Middle) 3. Date of Earliest Tra (Month/Day/Year)						X Director Officer (give		10% Owner Other (specify		
	L BLOOD TICS, INC., 400 RT, SUITE 101	11,	/03/20	•				below)	below)			
				ndment, Date Original th/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
SOUTH SAN FRANCISCO	, CA 94080							Form filed by M Person	lore than One Ro	eporting		
(City)	(State) (Z	Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed of	, or Beneficial	lly Owned	1	
	2. Transaction Date (Month/Day/Year)	Execution Da any	ate, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or))	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	al iip		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		See		
Common Stock	11/03/2016			J <u>(1)</u>	0	D	<u>(1)</u>	0	I	Footnot (2)	te	
Reminder: Report	t on a separate line f	or each class of	of securi	ties benefic	cially own	ed dir	ectly or	indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Global Blood Therapeutics, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

er

Reporting Owners

Reporting Owner Name / Add	Relationships						
reporting o where there is an	Director	10% Owner	Officer	Othe			
HOMCY CHARLES J C/O GLOBAL BLOOD THERAPE 400 EAST JAMIE COURT, SUITE SOUTH SAN FRANCISCO, CA 94	Х						
Signatures							
/s/ Matt Krause, attorney-in-fact	11/07/2016						
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 3, 2016, Third Rock Ventures II, L.P. ("TRV II") distributed, for no consideration, 2,000,000 shares of Common Stock of the Issuer (the "Shares") to its limited partners and to Third Rock Ventures GP II, L.P. ("TRV GP II"), the general partner of TRV II, representing each such partner's pro rata interest in such Shares. On the same date, TRV GP II distributed, for no consideration, the

(1) Representing each such partners provide interest in such shares. On the same date, TKV OF in distributed, for no consideration, the Shares it received in the distribution by TRV II to its partners, representing each such partner's provide interest in such Shares. All of the aforementioned distributions were made in accordance with the exemptions afforded by Rules 16a-13 and 16a-9 of the Securities Exchange Act of 1934, as amended.

The reporting person is a partner of TRV GP II, which is the general partner of TRV II. The reporting person disclaims beneficial(2) ownership over the shares held by TRV II, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.